



UNIVERSITY CORPORATION
AT MONTEREY BAY

100 Campus Center Seaside, CA 93955-8001 831/582-3500

MEMORANDUM

TO: Corporation Board of Directors
CC: Glen Nelson, Corporation Executive Director
FROM: Nancy Ayala
DATE: March 16, 2023

SUBJECT: Agenda and Read-Ahead Materials

Enclosed are the agenda and read-ahead materials for the Corporation Board of Directors meeting on Thursday, March 23, 2023. The meeting will begin at 8:30 a.m. in the board room at the Alumni and Visitors Center on campus.

If you have any questions or concerns before the Board meeting, please feel free to contact me at nayala@csumb.edu.



UNIVERSITY CORPORATION
AT MONTEREY BAY

100 Campus Center Seaside, CA 93955-9001 831/582-3500

Board of Directors Meeting
Thursday, March 23, 2023 8:30 a.m. – 10:30 a.m.
Alumni and Visitors Center – Board Room

MEETING AGENDA

- I. Call to Order** V. Quiñones
- II. Approval of Minutes**
2 min. Approval of December 9, 2022 meeting minutes V. Quiñones
- III. Public Comment**
- IV. President’s Remarks** V. Quiñones
- V. Executive Director’s Report** G. Nelson
- VI. Business**
10 min. Endowment Investment Policy Review and Investment Committee Report G. Lehman
Attachment VI
- VII. Reports**
- 15 min. A. Faculty-Staff Housing Update S. Lee
- 15 min. B. 2nd Quarter 22/23 Financial Report S. Baggett
Attachment VII-B
- 15 min. C. External Funding Update – Sponsored Programs C. Lopez
Attachment VII-C
- 10 min. D. Corporate and Foundation Relations – University Advancement B. Sanford
Attachment VII-D
- 15 min. E. Board Fiduciary Responsibility Report S. Lee
Attachment VII-E
- VIII. Open Communications/Announcements**
- IX. Closed Session**
In accordance with Education Code § 89923
- X. Adjournment** V. Quiñones

Note: In accordance with Education Code §89921 and Corporation Bylaws Article VI §5, this agenda provides notice of the business to be transacted (i.e., topics for Board discussion). The italicized notations above are for guidance purposes only and the Board may take action on any item listed on the agenda, whether action is specifically prescribed.



University Corporation at Monterey Bay Board of Directors Meeting

Thursday, December 8, 2022
8:33 a.m. – 10:30 a.m.

Alumni and Visitors Center
With Zoom option

Draft, Minutes

<p style="text-align: center;"><u>Board Members Present:</u></p> <p>Vanya Quiñones, President Barbara Zappas, Director John Jimenez, Director Maria Bellumori, Director</p>	<p style="text-align: center;"><u>Board Members Absent:</u></p> <p>Robert Taylor, Esq. Director</p>
<p style="text-align: center;"><u>Presenters:</u></p> <p>Cindy Lopez, Sponsored Programs Director Maddison Burton, Director of Human Resources Starr Lee, Senior Associate Executive Director Stephen Mackey, Associate VP of Finance Gifford Lehman, Investment Committee Chair Sherry Baggett, Controller Clarisa Avila, Corporate and Foundation Relations Officer</p>	<p style="text-align: center;"><u>Staff Present:</u></p> <p>Nancy Ayala, Gov. & Compl. Mgr.</p>

Regular Meeting

- I. **Call to Order:** A quorum being established; President Vanya Quiñones called the meeting to order at 8:33 a.m.
President Quiñones announced the restructuring of divisions across campus, effective December 1. Lawrence Samuels is no longer the Executive Director of the Corporation due to the restructuring. Glen Nelson, Vice President for Administration and Finance, was appointed the Executive Director of the Corporation. Starr Lee’s new title is Senior Associate Executive Director.
- II. **Approval of Minutes:** Moved by Director Barbara Zappas and seconded to approve the September 22, 2022 meeting minutes as presented, the motion carried.
- III. **Public Comment:** There were no requests for public comment.
- IV. **President’s Remarks:** President Quiñones thanked the Board for their work and announced a new communications person was hired to handle communications to campus, including the Corporation. President Quiñones reported on her 100-days listening tour noting that improvements need to be made to processes, communication, and grant processing. Each division will get a document with concerns to address within their respective division. The goal is to move to improve the perception of the Corporation.
- V. **Business:**
 - A. **Resolution: Grant funds from the Ocean Protection Council:** Sponsored Programs Director Cindy Lopez presented the resolution to the board, which is needed to apply for grant funds from the Ocean Protection Council. The project builds on Principal Investigator James Lindholm's current and past activities studying the northward movement of traditionally southern California fishes, fish interactions with seafloor habitat, and the distribution of high-quality seafloor habitat. The resolution confirms Corporation has the funding to operate and maintain the project(s) consistent with requirements and will comply with all laws and regulations. Second, it designates Director of Sponsored Programs Cynthia E. Lopez or designees to represent the Corporation on all matters regarding the application and grant activities. Moved by Director Zappas and seconded to approve the Grant funds from the Ocean Protection Council resolution, as presented. With no further discussion, the motion carried.

- B. Resolution: 403(b) DC Plan Amendment: Director of Human Resources Maddison Burton presented the resolution to amend the Plan Adoption Agreement with the Teachers Insurance and Annuity Association and College Retirement Equities Fund (TIAA/CREF) to conform with current department practices. The changes to the plan would be retroactive to July 1, 2022. Moved by Director Zappas and seconded to approve the 403(b) DC Plan Amendment Resolution, as presented. With no further discussion, the motion carried.

VI. Reports:

- A. Faculty-Staff Housing Update: Associate Executive Director Starr Lee provided an update on faculty-staff housing. Ms. Lee provided an overview of Schoonover Park rentals, including eligibility requirements, rates, and fees. It was noted that part-time employees' criteria are being finalized to provide predictable handling of gaps in employment conditions. The faculty-Staff housing Committee is reviewing these criteria. Establishing standards for part-time employees is the last major issue to address in Schoonover Park. It was noted the Corporation provides internet and cable at no cost to residents and campus. The Corporation also pays water usage fees. Ms. Lee provided a Student Housing update noting that as of October 30, Student Housing reached out to the 176 students at East campus that reside in the two-person configuration units multiple times. As of yesterday, Student Housing reached 173 students to select or confirm their unit preferences. Student Housing will continue to try to get the three remaining students.
- B. Auxiliary Audit Committee Report: Auxiliary Audit Committee Report: Auxiliary Audit Committee Chair Stephen Mackey report on the Committee's activities. The Committee met on November 9, 2022, to review the Auxiliaries' 2022/21 Audit Reports. The auditors issued a clean opinion. The Committee will meet at a later date to review Form 990.
- C. 1st Quarter 22/23 Financial Report: Ms. Baggett presented the 1st Quarter Financial Report. Total Current Assets increased \$13.4M, as seen primarily on Cash, as we received the fall semester's housing and dining monies and also liquidated some investments to help pay for the costs of the sewer project in the residence halls. Total Current Liabilities decreased by \$1.8M due mainly to Accounts Payable, Payable to University, and Unearned revenue, Other. In the Payables balances, we have a significant volume of invoices and a significant dollar amount (with one invoice alone being almost \$9M for the Otter Student Union building), but payments are being made steadily to reduce these balances. As for the Unearned revenue balance, we received \$4.6M from Chartwells in July 2021 for improvements to the dining venues, which will be amortized monthly over the 10-year lease. As of June 30, 2022, the Corporation implemented GASB Statement 87 Leases which shifted lease accounting from the income statement onto the balance sheet. As a result, new line items appear in the balance sheet, such as Leases receivable, Lease liability, and Deferred inflows of resources. Additionally, in the two income statements, you will see significant variances in certain lease-related line items compared to both the budgeted and prior year amounts, as GASB 87 was not in place during those times. University support, capital this quarter represents continued contributions for the Monterey Bay Football Club stadium project, such as purchasing flooring in time for the commencement celebration. President Quiñones noted that the University, including the Corporation, would not incur any costs according to the agreement between the University and the Monterey Bay Football Club. The flooring expense was a separate business transaction outside of this agreement that will have a payback.
- D. Investment Committee Report: Investment Committee Chair Gifford Lehman reported on the Committee's activities. As of September 30, 2022, the Operating Total Fund holds approximately \$42M. The Corporation portfolio had a 5.7% loss, underperforming the policy index by 0.5%. Over five years, the Corporation portfolio returned 2.6% annualized,

underperforming the policy index by 0.2%. Director Zappas reported that University staff and Investment Consultant Verus presented environmental sustainability presentations in CSUMB Investments to various campus stakeholders, i.e., Associated Students, the Sustainability Committee, and the Corporation and Foundation governing Boards. The information and direction of the Committee were well received by all groups. Before the Committee can move forward, the same presentation must be made to the Academic Senate in spring 2023.

- E. External Funding Update – Sponsored Programs: Director of Sponsored Programs Cindy Lopez presented the 1st quarter report. Through September 30, 2022, \$6.2M in awards were received.
- F. Corporate Relations – University Advancement: Corporate and Foundation Relations Officer Clarisa Avila provided an update to the Board. Total private grants year to date are approximately \$292K.

VII. Closed Session: The board did not enter into closed session.

VIII. Open Communication/Announcements: There were no announcements.

IX. Adjournment: With no further business to conduct and no objection, the meeting was adjourned at 10:30 a.m.

Katherine Kantardjieff, Secretary/Treasurer

Date



TO: Board of Directors **DATE:** March 14, 2023
FROM: Investment Committee **REF:** Board Meeting #3
March 23, 2023
**SUBJECT: VI. Business-Endowment Investment
Policy revision (First read)**

RECOMMENDATION

APPROVE THE REVISION OF THE ENDOWMENT POLICY

BACKGROUND

The Auxiliary Investment Committee recommends revisions to the Endowment Investment Policy.

In October 2021, the CSU Investment Advisory Committee voted to begin the divestment of fossil fuels from the portfolios under their purview. According to CSU's portfolio analysis, fossil fuel exposure was at 3.2%.

While auxiliaries and foundations are not required to follow the CSU position, CSUMB's Auxiliary Investment Committee has discussed the consideration of sustainable investing at each meeting since then. As one of the steps to a more sustainable portfolio, the Investment Committee recommends revising the Endowment Investment Policy to introduce the Foundation's sustainability goals in §6 Investment Guidelines (page three). The revisions were drafted with the assistance and expertise of Investment Consultant Verus.

Although the Corporation has no endowments, the Corporation's Endowment Investment Policy follows the Foundation of CSUMB's Endowment Investment Policy. The Foundation Board had its first read of the policy revisions at the March 10, 2023, meeting. The Foundation Board will vote to accept the changes at the June meeting.

UNIVERSITY CORPORATION AT MONTEREY BAY BOARD POLICY

Policy 207

Endowment Investment Policy

Section: 200- Financial

Issue Date: 11/20/19

Last Reviewed: 11/20/19

Previous Versions: 1/13

Previous #: 321-002

1) PURPOSE

The Corporation currently has no endowments and does not anticipate receiving any in the near future. However, it is prudent to have a policy in place should the need arise.

2) POLICY

The Foundation of California State University, Monterey Bay (“Foundation”) has developed a policy that provides guidelines for the prudent investment of the Endowment Fund of the Foundation and outlines an overall system of investment policies and practices such that the Foundation’s ongoing financial obligations are satisfied. An additional and equally important purpose of this document and other Foundation investment-related policies is to provide donors, prospective donors, and donation recipients with information about investment performance expectations, guidelines for distribution of earnings, and levels of reimbursements of costs to the Foundation.

The Corporation hereby adopts, in its entirety, the Foundation’s Endowment Investment Policy.

3) RELATED DOCUMENTS

- a) California State University Board of Trustees Resolution RFIN 7-78-6
- b) Foundation Endowment Spending Policy

Policy 201

Section: 200- Financial

Issue Date: 04/21/11

Endowment Investment Policy

Last Reviewed: ~~12/13/19~~ 02/03/23

Previous Versions: 12/13/19, 6/13/14, 08/16/11

1) PURPOSE

This Endowment Investment Policy is intended to provide guidelines for the prudent investment of the Endowment Fund of Foundation of California State University, Monterey Bay (the “Foundation”) and to outline an overall system of investment policies and practices such that the Foundation’s ongoing financial obligations are satisfied. An additional and equally important purpose of this document and other Foundation investment-related policies is to provide donors, prospective donors, and donation recipients with information about investment performance expectations, guidelines for distribution of earnings, and levels of reimbursements of costs to the Foundation.

2) POLICY

a) Investment Objectives

Assets of the Endowment Fund (the “Fund”) of the Foundation shall be invested in accordance with this Endowment Investment Policy and in compliance with State and Federal laws and regulations.

The Fund represents the permanent funds of the Foundation, term endowments, and funds functioning like endowments, which have been contributed by various donors to provide revenue that will sponsor and support recognized functions or objectives of the University.

Two significant goals of endowment management are: 1) to preserve the purchasing power of the assets throughout time, and 2) to provide a substantial, stable flow of resources to carry out the purpose of the endowment(s). Preserving the purchasing power of assets allows future generations to benefit from the endowment at the same level as the current generation.

Specifically, recommendations from the Investment Consultant, recommendations from the Investment Committee, and subsequent action by the Foundation Board must properly balance the following overall objectives:

- i) *Return* – to produce sufficient current and continuing income from investment returns to support scholarships, special projects, and other ongoing academic activities as were intended by the donors.
- ii) *Growth* – to provide for growth of the Fund through investment in assets that have the profitability of appreciating in value.
- iii) *Safety* – to place sufficient limitations on risks associated with the implementation of the income and growth objectives and to protect the fund principal through the diversification of assets and the setting of specific quality standards.

The pursuit of the foregoing objectives must be consistent with the Foundation’s desire to obtain consistent returns through investments with the acceptance of prudent investment risks and the rejection of those investment activities deemed to be too speculative in nature.

3) INVESTMENT GOALS

Underlying the Fund’s investment goals are its needs to maintain the purchasing power of the endowment income and to protect the real value of endowment principal. The investment performance objective is to obtain a minimum total annual rate of return (after investment manager fees) equal to the spending rate outlined in the Endowment Spending Policy, plus the rate of inflation. Recognizing the volatility of both the equity and bond markets, it is understood that this objective may not be met on an annual basis. However, it is expected to be achieved when measured on a three-year rolling average basis with the understanding that purchasing power may not be maintained during low return environments.

4) ASSET MIX POLICY

Investment decisions shall be made within the framework of the goals stated above for optimizing the total rate of return, keeping in mind the desirability of limiting year-to-year risk of income and market fluctuations. These goals require that the total rate of return of the portfolio be optimized rather than maximized. The optimal portfolio allocation is one that carefully equates expected rate of return with expected risk of all investment categories used in the portfolio.

In setting the optimal allocation of assets for the Foundation’s endowment fund, the Foundation Board of Directors (the “Board”) hereby adopts the following strategic (long-term) asset allocation policy:

<u>Asset Class</u>	<u>Minimum</u>	<u>Strategic Target</u>	<u>Maximum</u>
Domestic Equities	22%	30%	40%
Large Cap US	15%	25%	30%
Small/Mid Cap US	3%	5%	10%
International Equities	18%	25%	30%
International Developed	8%	15%	20%
Emerging Markets	6%	10%	15%
Core Fixed Income	17%	22%	37%
Core US Fixed Income	14%	22%	35%
TIPS	0%	0%	6%
Opportunistic Fixed Income	0%	3%	7%
Emerging Market Debt	0%	3%	7%
High Yield	0%	0%	7%
Real Assets	5%	5%	16%
Real Estate	0%	5%	9%
REITs	0%	0%	8%
Real Assets Blend	0%	0%	7%
Alternatives	7%	15%	20%
Private Credit	7%	15%	20%
Cash	0%	0%	3%
		100%	

The “strategic target” asset mix, which emphasizes diversification in order to lower expected risk and to maximize expected total return to risk, is to be reviewed annually to ensure the established guidelines are still appropriate. When new allocations are being implemented, the portfolio will not be in line with the long-term targets until the new allocations are funded.

The minimum and maximum ranges within each asset class provide for investment flexibility. Any tactical asset allocation changes are to be based on a one-to-two year horizon and should be made in accordance with the established ranges.

The Foundation Board reserves the right to adjust these limits at any time.

5) REBALANCING GUIDELINES

When available, cash inflows/outflows will be deployed in a manner consistent with the strategic asset allocation outlined in §IV. On a quarterly basis, the Investment Consultant will review the portfolio for rebalancing to target allocations. The investment consultant will determine the necessity of transactions based upon the criteria outlined above and, if deemed appropriate, will work with the University Corporation Controller to execute the rebalancing and inform the Committee thereafter. In addition, the Investment Committee may rebalance the portfolio back to target allocations at its periodic meetings.

Exception – The Opportunistic Fixed Income asset category will not be rebalanced automatically. It will be rebalanced at the Committee’s direction.

6) INVESTMENT GUIDELINES

a) Overall Guidelines

- i) As a general statement, the Foundation wishes its investments to be confined to marketable securities.

- ii) The Foundation recognizes the importance of integrating environmental sustainability factors into our investment decision-making process. In addition to complementing our ability to generate superior long-term financial returns, the integration of environmental sustainability factors may also lead to better social and environmental outcomes for The Foundation, our community, and our planet. This is consistent with CSUMB’s current environmental sustainability statement, below:

“CSUMB's broad approach to sustainability recognizes that the decisions we make today impact future generations. Through the lens of justice and equity we work in all areas of operations and teaching to respect, and respond to, the needs of our natural environment.”

In general, The Foundation will seek over time to integrate more investment funds that consider environmental sustainability factors in the sourcing and due diligence process for Foundation investments.

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ii)iii) The Corporation prohibits investments that jeopardize the safety of principal concept or non-profit status of the Foundation. The following types or methods of investments are expressly prohibited:

- (1) Trading in securities on margin;
- (2) Investing in working interests in oil or gas wells;
- (3) Derivatives which increase portfolio risk above the level that could be achieved in the portfolio using only traditional investment securities. In addition, derivatives will not be used to acquire exposure to changes in the value of assets or indexes that by themselves would not be purchased for the portfolio. Derivative usage is strictly limited to mutual funds.
- (4) Purchasing mortgages directly; and
- (5) Unregistered or restricted stock.

ii)iv) The Board of Trustees of the California State University adopted a resolution urging auxiliary boards that make corporate investments to issue statements of social responsibility and to follow those precepts in examining past and considering future investments. The Foundation Board recognizes and accepts its social responsibility with respect to the investment of funds.

ii)v) Unrestricted donations of marketable securities are to be liquidated in an orderly fashion unless they conform to the investment model as determined by the Investment Manager.

ii)vi) Mutual funds are permitted investment vehicles so long as their underlying investments meet the criteria set forth in this policy.

b) Equity Category Guidelines

- i) The equity portfolio should be well diversified to avoid undue exposure to any single economic sector, industry group, or individual security.
- ii) The holding of one firm's common stock is not to exceed 5% of that firm's total common stock outstanding.
- iii) No more than 7.5% of the equity category's portfolio shall be invested in the stock of a single company.

c) Fixed Income Category Guidelines

- i) Purchases of fixed income securities will be limited to U.S. Treasury or federal agency obligations, State of California obligations, or those U.S. Corporate bonds with ratings as prescribed in §VI.C.2. below.

- ii) Normally, at least 65% of assets invested in corporate bonds must be invested in straight debt corporate bonds (and/or preferred stock and convertible securities) rated a minimum of A3 by Moody's of A- by Standard & Poor's at the time of purchase. With the exception of the high-yield fund, an account or fund is allowed to hold no more than 20% of securities rated lower than Baa3 by Moody's or BBB- by Standard & Poor's.
 - iii) With regard to concentration by issuer, there are no limitations placed on investment in U.S. government or federal agency guaranteed investments. No more than 25% of the total investments in government fixed income vehicles may be in State of California Bonds. For U.S. corporate bonds, however, investments in any one issuer should:
 - (1) Not represent any more than 10% of the fixed income category,
 - (2) Not be more than 5% of that security's total issue, and
 - (3) The security should be part of an issue worth at least \$50 million par value.
- d) Cash Equivalents Category Guidelines** – The following investments are acceptable:
- i) Certificates of Deposit (maximum \$250,000 per institution) – these investments will be limited to licensed (Federally Chartered) financial institutions;
 - ii) Commercial Paper – these investments will generally be limited to ratings A-1 (or better) by Standard & Poor's or P-1 (or better) by Moody's;
 - iii) U.S. Government and California State Government guaranteed securities, as well as U.S. Treasury Bills;
 - iv) Federal Agencies; and
 - v) Other money market instruments that meet the test of high quality and liquidity.
- e) Exceptions** – Any exception to the guidelines herein may be granted on an interim basis by mutual agreement of the Chief Executive Officer and the Foundation Board Treasurer (if designated). Such interim exceptions shall be ratified at the next regularly scheduled meeting of the Foundation Board of Directors.

Assets acquired with special restrictions on substitution or sale should be managed in strict accordance with the instruction of the donor.

7) ADMINISTRATIVE REVIEW POLICIES

a) Levels of Responsibility

- i) Board of Directors/Investment Committee – The Foundation Board of Directors, on the advice of the Foundation Investment Committee, is responsible for adopting the Endowment Investment Policy, selecting outside investment managers, annually reviewing the

performance of outside investment advisors/managers, reviewing and approving amendments to the Endowment Investment Policy, and reviewing investments. The Foundation Investment Committee is responsible for recommending a prudent investment strategy for all funds available for investment, developing guidelines in order to implement those investment policies approved by the Board, and monitoring Auxiliary Accounting’s implementation of those policies. At least quarterly, the Investment Committee Chair will report to the Board on Fund investment performance.

- ii) Chief Executive Officer (“CEO”) – The CEO is responsible for implementing and administering the adopted Endowment Investment Policy, submitting a quarterly statement of the prior quarter’s investment activity and performance analysis, and submitting an annual report on the distribution of all earnings.
- iii) Investment Consultant – The Investment Consultant is responsible for advising the Committee on investment policy, spending policy, asset allocation, investment manager selection, and providing performance analysis and monitoring services and education. The Investment Consultant shall prepare a monthly summary holdings report and a quarterly performance report on the investment managers and the total assets of the Foundation. The Investment Consultant shall meet with the Committee as requested.
- iv) Investment Manager(s) – When appropriate, the Investment Manager(s) is (are) delegated the responsibility of investing and managing the Foundation’s endowment assets in accordance with this Investment Policy and all applicable law. Each investment manager must either be (1) registered under the Investment Company Act of 1940, (2) registered under the Investment Advisors Act of 1940, (3) a bank, as defined in that Act, (4) an insurance company qualified under the laws of more than one state to perform the services of managing, acquiring or disposing of the Foundation’s endowment assets, or (5) such other person or organization authorized by applicable law or regulation to function as an Investment Manager.

- b) **Review of Investment Performance** – Evaluation of the Fund’s performance will be on a total return basis. These returns will be viewed in the context of the Fund’s objectives, relevant market indices, and the performance of comparable college and university funds. The source document for comparison will be the NACUBO annual endowment study or other studies, reports, or indices as determined by the Foundation Board.

Evaluation of the performance of asset groups, if applicable, will be based on the following indices:

	<u>Asset Class</u>	<u>Benchmark</u>
Equities	Large Cap US	S&P 500
	Small/Mid Cap US	Russell 2500
	International Developed	MSCI EAFE or MSCI ACWI ex U.S.

Emerging Markets	MSCI Emerging
Core Fixed Income	
Core US	Barclays Capital Aggregate
TIPS	Barclays US TIPS Index
Opportunistic Fixed Income	
Emerging Market Debt	JPM EMBIG
High Yield	Barclays Capital High Yield
Real Assets	
Real Estate	NCREIF ODCE
REITs	FTSE NAREIT
Real Assets Blend	CPI+
Alternatives	
Private Credit	Barclays Capital High Yield CPI+5%
Cash	90 Day Treasury Bill

Subsets of equities and fixed income investments will be measured against appropriate benchmarks.

- c) **Foundation Administrative Costs** – Endowment accounts are not currently subject to an administrative fee to reimburse the Foundation for costs associated with administering the endowment account.
- d) **Transfer of Funds to Endowment Fund** – Funds may be admitted to the Fund at any time consistent with the Foundation’s fiscal policies and procedures in connection with acceptance and administration of gifts. Typically new money will be invested in the cash equivalent category until such time as the Investment Manager(s) can prudently invest those funds in the equity and fixed income components.

8) RELATED DOCUMENTS

- a) California State University Board of Trustees Resolution RFIN 7-78-6
- b) Foundation’s Socially Responsible Investing Policy
- c) Foundation’s Endowment Spending Policy

University Corporation at Monterey Bay 2nd Quarter ending December 31, 2022

Significant Highlight (just a reminder)

As of June 30, 2022, the Corporation implemented *GASB Statement 87 Leases* which shifted lease accounting from the income statement onto the balance sheet. As a result, there are new line items appearing in the balance sheet such as Leases receivable, Lease liability, and Deferred inflows of resources. Additionally, in the two income statements, there are some variances in certain lease-related line items compared to both the budgeted and prior year amounts as GASB 87 was not in place during those times.

Statement of Net Position Discussion & Analysis

- *Total Current Assets* increased \$1.8M as seen mostly in the *Accounts Receivable* line items. Collections from grants and contracts and the property manager accounts receivable balances were offset by the \$4M increase in the University's A/R due to the collection of housing and dining monies owed to the Corporation.
- *Total Noncurrent Assets* decreased \$6.4M due to the decreases seen in two line items: *Long-term investments* and *Capital Assets*. Cash was needed to pay for the residence halls lateral sewer project so \$5M of investments were liquidated. The *Capital Assets* decline is due to the increase in depreciation expense (explained below) which outpaced the addition of new assets.
- *Total Current Liabilities* decreased \$1.9M due mostly to *Accounts Payable*, *Payable to University*, and *Unearned revenue, Other*. In the Payables balances, we have both a significant volume of invoices and a significant dollar amount, with one invoice alone being almost \$9M for the Otter Student Union building. As for the *Unearned revenue* balance, we continue to amortize the \$4.6M payment from Chartwells for dining venue improvements and we see this line item also decrease as grants revenues are recognized as income when the expenditures occur.
- *Total Net Position of \$70.1M* is derived from *Total Assets* of \$224.3M, minus *Total Liabilities* of \$153.7M and *Total deferred inflows of resources – leases* of \$457k. The *Unrestricted Net Position* portion of \$33.1M represents the portion that can be used to finance day-to-day operations. The *Restricted Net Position* represents the portion subject to externally imposed restrictions, such as donors and sponsors. The *Net investment in capital assets* portion represents capital assets, net of accumulated depreciation and amortization, and less the outstanding principal balances of related debt. The Corporation uses these capital assets in its day-to-day operations.

Statement of Revenues, Expenses and Changes in Net Position Discussion & Analysis

- *Housing and Sales and services of auxiliary enterprises revenues* are ahead of both budget and prior year due mostly to increased occupancy rates in North Quad and Promontory which also translates to additional meal plans sold.
- *Grants and Contracts Revenues* are ahead of prior year by \$3.7M due mostly to a new private grant from the Irvine Foundation and increases in expenditures of existing federal and state grants supporting programs such as Gear Up, Talent Search, and Chinatown.
- *Other operating revenues* are \$1.5M higher than prior year due to the state allocation funds for the Computing Talent Initiative (CTI) program.
- *Salaries and benefits expenses* are below budget by 13.4% due mostly to full-time positions remaining unfilled; however, these expenses are higher than prior year since sponsored programs activities continue to increase and hire faculty, staff, temps and student workers.
- *Contract services* are higher than both budget and prior year, at this time, as some of this variance is due to timing, while other variance factors are permanent such as carpeting contracts for Promontory and additional meal plan payments due to the higher on-campus occupancy rates.
- *Scholarships expense* is higher than prior year due to increased expenditures from both existing and new federal grants with large scholarship budgets like UROC and Project Poppy.
- *Supplies and Services and Repairs and Maintenance* are higher than budget due to the many different renovations, improvements, and mandated State Fire Marshal corrections happening in the housing facilities as a result of the funding that was provided by the Chancellor's Office \$15M loan.
- *Rental expense* reflects a permanent variance from both budget and prior year due to the implementation of GASB Statement 87 which shifts lease payments from the income statement onto the balance sheet.
- *Insurance expense* is significantly higher than prior year due to a dramatic increase in the rates because of industry events such as wildfires, hurricanes, etc. resulting in significant property losses across the market the last few years.
- *Depreciation and amortization expense* is significantly higher than prior year and budget due to the completion of some capital improvements to the housing facilities that have now been capitalized.
- *Cost of Real Estate Sold* this year represents 1 home sold compared to last year 3 homes were sold.
- *University support, noncapital* increased by \$150k over prior year due to the agreement with the campus to fund additional IT support.
- *Interest on capital-related debt* increased over prior year due to the additional \$15M loan from the Chancellor's Office for project improvements.
- *University support, capital* reflects contributions for the Monterey Bay Football Club stadium project.

**University Corporation at Monterey Bay
Statements of Net Position**

Assets	December 31, 2022	June 30, 2022
<u>Current Assets:</u>		
Cash and cash equivalents	\$ 6,978,770	\$ 7,124,052
Short-term investments	94,884	110,628
Receivables:		
Grants and contracts, net	6,237,974	7,311,924
Property manager	3,242,868	4,764,995
University	6,968,154	3,001,223
Foundation	46,650	74,930
Otter Student Union	145,990	249,699
CSU	541,379	541,379
Housing, net	200,730	106,754
Other, net	2,193,500	2,294,190
Pledges receivable, net	225,159	226,059
Leases receivable	19,385	21,256
Prepaid expenses and other assets	571,979	253,363
Real estate held for sale	2,122,201	1,670,290
Total Current Assets	<u>29,589,623</u>	<u>27,750,742</u>
<u>Noncurrent Assets:</u>		
Accounts receivable, net	35,184	35,184
Pledges receivable, net	230,346	230,346
Leases receivable, net	449,974	459,739
Certificates of deposit	263,445	262,914
Long-term investments	45,012,548	49,438,484
Capital assets, net	148,682,031	150,607,830
Other noncurrent assets	40,000	40,000
Total Noncurrent Assets	<u>194,713,528</u>	<u>201,074,497</u>
Total Assets	<u>224,303,151</u>	<u>228,825,239</u>

**University Corporation at Monterey Bay
Statements of Net Position**

	December 31, 2022	June 30, 2022
Liabilities		
<u>Current Liabilities:</u>		
Accounts payable	\$ 4,269,211	\$ 7,347,394
Payable to University	14,165,277	12,545,050
Payable to Foundation	414,179	48,910
Payable to Otter Student Union	1,150	199,851
Payable to CSU	789,741	544,734
Accrued salaries and benefits payable	1,639,767	1,625,383
Unearned revenue:		
Housing	58,026	90,178
Other	6,032,913	7,488,945
Lease liability, current portion	4,210,117	3,628,801
Note payable, current portion	1,205,000	1,145,000
Other liabilities	143,563	123,828
Total Current Liabilities	32,928,944	34,788,074
<u>Noncurrent Liabilities:</u>		
Lease liability, net of current portion	43,685,685	47,553,815
Notes payable, net of current portion	76,710,519	78,863,515
Depository accounts	392,888	362,086
Total Noncurrent Liabilities	120,789,092	126,779,416
Total Liabilities	153,718,036	161,567,490
<u>Deferred Inflows of Resources:</u>		
Leases	457,253	472,810
Total deferred inflows of resources	457,253	472,810
Net Position		
<u>Net Position:</u>		
Net investment in capital assets	30,280,219	27,824,230
Restricted for:		
Expendable:		
Pledges receivable	469,620	456,404
Net gifts and donations	5,957,451	5,940,168
Net sponsored programs	174,977	44,337
Other	187,234	196,972
Unrestricted	33,058,361	32,322,828
Total Net Position	\$ 70,127,862	\$ 66,784,939

University Corporation at Monterey Bay
Statements of Revenues, Expenses and Changes in Net Position

	<u>December 31, 2022</u>	<u>December 31, 2021</u>
Operating Revenues:		
Housing	\$ 23,337,406	\$ 19,929,592
Grants and contracts:		
Federal	7,065,104	6,027,255
State	1,657,751	760,459
Local	71,688	34,274
Nongovernmental	2,318,301	553,448
Sales and services of auxiliary enterprises, net	5,204,928	4,018,794
Other operating revenues	1,604,533	156,792
Total Operating Revenues	<u>41,259,711</u>	<u>31,480,614</u>
Operating Expenses:		
Salaries and benefits	11,213,980	9,631,081
Contract services	8,221,137	6,208,688
Subawards	533,396	455,088
Utilities	2,277,772	2,470,313
Supplies and services	857,468	579,557
Travel	274,534	100,771
Scholarships	1,780,313	1,404,679
Professional services	118,441	106,596
Concessions	90,994	121,770
Repairs and maintenance	3,175,800	3,321,936
Rental expense	44,691	490,743
Equipment	280,028	221,222
Information technology	329,985	417,970
Insurance	990,710	721,083
Depreciation and amortization	5,537,015	4,242,970
Cost of real estate sold	219,662	862,726
Other operating costs	1,431,135	1,104,793
Total Operating Expenses	<u>37,377,061</u>	<u>32,461,986</u>
Operating Income/(Loss)	<u>3,882,650</u>	<u>(981,372)</u>

University Corporation at Monterey Bay
Statements of Revenues, Expenses and Changes in Net Position

	<u>December 31, 2022</u>	<u>December 31, 2021</u>
Nonoperating Revenues (Expenses):		
Gifts and donations, noncapital	\$ 1,798,581	\$ 1,802,929
Investment income	914,316	1,053,552
Realized and unrealized gains (losses) on investments	(415,363)	480,514
University support, noncapital	(625,000)	(475,000)
Contributions to Foundation	(113,063)	(505,102)
Interest on capital-related debt	(1,938,332)	(1,669,931)
Other nonoperating revenues, net	47,818	927
Total Nonoperating Revenues (Expenses)	<u>(331,043)</u>	<u>687,889</u>
Income (Loss) before other changes to Net Position	<u>3,551,607</u>	<u>(293,483)</u>
Other Changes in Net Position:		
Other Changes in Net Position:		
University support, capital	(208,684)	(22,916)
Total Other Changes in Net Position	<u>(208,684)</u>	<u>(22,916)</u>
Increase (Decrease) in Net Position	3,342,923	(316,399)

University Corporation at Monterey Bay
Operating Statement of Revenues and Expenses
December 31, 2022

	Budget	2nd Quarter Budget	Actuals	% Annual Budget	Variance
Operating Revenues:					
Housing	\$ 41,763,015	\$ 20,892,507	\$ 23,122,406	55.4%	\$ 2,229,899
Homeowner Sales	1,050,000	525,000	215,000	20.5%	(310,000)
Sales and services of auxiliary enterprises	7,986,089	4,273,405	5,203,338	65.2%	929,933
Other operating revenues	2,681,809	1,340,904	1,057,115	39.4%	(283,790)
Total Operating Revenues	53,480,913	27,031,816	29,597,859	55.3%	2,566,042
Operating Expenses:					
Salaries and benefits	11,112,300	5,556,150	4,897,830	44.1%	658,320
Utilities	5,821,021	2,912,616	2,269,514	39.0%	643,102
Travel	84,836	42,618	10,532	12.4%	32,086
Contract services	13,744,776	6,883,261	7,317,153	53.2%	(433,892)
Equipment	737,991	368,996	229,904	31.2%	139,092
Information technology	900,806	450,403	277,477	30.8%	172,926
Supplies and services	522,504	261,252	557,988	106.8%	(296,736)
Scholarships	13,600	-	-	0.0%	-
Professional services	186,400	140,867	117,092	62.8%	23,775
Meal concessions	373,112	186,556	90,994	24.4%	95,562
Repairs and maintenance	4,203,301	2,102,150	3,172,580	75.5%	(1,070,430)
Rental expense	993,722	496,861	32,489	3.3%	464,372
Insurance	1,928,494	964,871	989,899	51.3%	(25,028)
Depreciation and amortization	8,867,023	4,658,647	5,537,015	62.4%	(878,368)
Cost of homeowner sales	1,005,000	502,500	219,662	21.9%	282,838
Other operating expenses	1,493,530	651,741	753,955	50.5%	(102,214)
Total Operating Expenses	51,988,416	26,179,488	26,474,084	50.9%	(294,596)
Operating Income	\$ 1,492,497	\$ 852,328	\$ 3,123,775		\$ 2,271,447

University Corporation at Monterey Bay
Supplemental Information - Other Revenues and Expenses
December 31, 2022

Other Operating Revenues - detail of significant items

OLLI Workshop fees	\$ 69,290
Otter Student Union (OSU) administrative fee (July-Dec 22)	29,835
Pouring rights from Coca Cola contract	25,000
Women's Leadership Council ticket revenue	8,855
Computing Talent Initiative allocation for 22-23	1,448,678

Other Operating Expenses - detail of significant items

Participant support (programs such as TRIO)	\$ 330,517
Bank fees for wires and credit card processing	96,118
Hospitality and community outreach (including advertising)	243,573
Registration fees for professional development	79,728
Administrative expenses (Property taxes, collections, payroll processing fees, etc.)	209,979
Speaker fees and Honoraria for OLLI and grant programs	106,970
Residential Life student programs and activities	67,819
Programming/interconnect subscriptions for KAZU	211,324

UNIVERSITY CORPORATION at MONTEREY BAY
2022/2023 REPORT OF PUBLIC EXTERNAL FUNDING SOURCES

SUMMARY REPORT
7/1/22 - 12/31/23

I. PUBLIC AWARDS RECEIVED 2022/2023 (2nd QUARTER)

(Awards Goal Compared to Actual Awards)

	22/23 GOAL		FY 21/22 COMPARISON	
	AMOUNT THRU 12/31/22	NUMBER OF AWARDS	AMOUNT THRU 12/31/21	NUMBER OF AWARDS
NEW PUBLIC FUNDS				
DIRECT	\$15,400,000	42	\$11,844,800	32
INDIRECT	<u>\$1,600,000</u>	(plus 19 supplements)	<u>\$1,731,452</u>	(plus 27 supplements)
TOTAL PUBLIC	\$17,000,000	\$ 9,515,938	\$13,576,252	

II. ONGOING PUBLIC AWARDS 2022/2023

	\$68,921,571	131	\$56,517,641	111
Total Awards (New + Ongoing)	\$78,437,509	173	\$70,093,893	143

III. PENDING PUBLIC PROPOSALS

	AS OF 12/31/22	NUMBER OF PROPOSALS	AS OF 12/31/21	NUMBER OF PROPOSALS
	\$30,948,585	79	\$ 40,617,671	73

Attachment VII-C

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**University Corporation at Monterey Bay
External Funding Report - Sponsored Programs
FY22-23 Awards - 2nd Quarter 7/1/22 - 12/31/22**

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards	New Awards	New Awards	Total New Awards		
										FY21	Direct FY22	Indirect FY22	FY22	Award Term	
1	Federal	R&D	Bay Area Environmental Research-NASA	ARC-CREST Science Mission Operations by NSERC and R	Susan Alexander	AES	AA/Science	\$ 18,444,738	\$ 4,263,459	\$ 22,708,197	\$21,415,932	\$1,049,532	\$242,733	\$1,292,265	3/1/12-2/28/23
2	Federal	Public Servi	US Dept. Education	GEAR-UP Seaside/Salinus - Year 7 Final Year	Kim M Barber	PreCollege Pr	SA/Enrollment M	\$ 763,209	\$ 61,057	\$ 824,266	\$824,266				9/25/20-9/24/22
3	Federal	Public Servi	US Dept. Education	GEAR-UP South County-Year 7 Final Year	Kim M Barber	PreCollege Pr	SA/Enrollment M	\$ 563,798	\$ 45,104	\$ 608,902	\$608,902				9/25/20-9/24/22
4	Federal	Student Sch	US Dept. Health & Human Services	Scholarships for Disadvantaged Students-Yr 7	Maria Gurola	Social Work	AA/HSHS	\$ 637,024	\$ -	\$ 637,024	\$637,024				7/1/22-6/30/23
5	Federal	Academic St	US Dept of Education	Research-based Interventions to Increase STEM Degree Attain	John Banks/Tom Horvath	UROC/CS/Un	AA/GS&R-COS	\$ 1,156,176	\$ 52,894	\$ 1,209,070	\$1,209,070				10/1/20-9/30/22
6	Federal	R&D-Public	National Science Foundation	ASPIRE-Active Societal Participation in Research and Educa	Corey Garza	AA/Science	AA/Science	\$ 190,579	\$ 15,435	\$ 206,014	\$206,014				9/15/16-8/31/22
7	Federal	R&D-Studer	CSU Channel Islands-National Science F	CA Coast Noyce Scholars Program-Phase II	Joanne Lieberman/Hongde Hu	Math & Statis	AA/Science	\$ 367,563	\$ 42,674	\$ 410,237	\$410,237				6/1/17-5/31/23
8	Federal	Public Servi	US Dept of Education	Upward Bound Watsonville & Soledad 21-22	Kim M Barber	PreCollege Pr	SA/Enrollment M	\$ 269,844	\$ 21,587	\$ 291,431	\$291,431				9/1/21-8/31/22
9	Federal	Public Servi	US Dept of Education	Upward Bound Pajaro Valley & North Monterey County 21-	Kim M Barber	PreCollege Pr	SA/Enrollment M	\$ 238,520	\$ 19,081	\$ 257,601	\$257,601				0/1/21-8/31/22
10	Federal	Public Servi	US Dept of Education	Educational Talent Search Year 5, 21-22	Kim M Barber	PreCollege Pr	SA/Enrollment M	\$ 598,925	\$ 47,914	\$ 646,839	\$646,839				9/1/21-8/31/22
11	Federal	Academic St	US Dept of Education	Ronald E. McNair Scholars 2017-2022 Yr 5	John Banks	UROC	AA/GS&R	\$ 252,414	\$ 9,473	\$ 261,887	\$261,887				10/1/21-9/30/22
12	Federal	R&D	US Dept. Agriculture	Remote Sensing support for precision fumigation in strawber	Forrest Melton	AES	AA/Science	\$ 27,273	\$ 2,727	\$ 30,000	\$30,000				8/1/17-7/31/22
13	Federal	R&D	Regents of UC/Santa Cruz - USDA	Integrated systems for management of soil borne diseases anc	Arlene L Haffa	Bio & Chem	AA/Science	\$ 224,443	\$ 47,378	\$ 271,821	\$251,657	\$15,727	\$4,437	\$20,164	8/15/17-8/14/23
14	Federal	R&D	National Science Foundation	Collaborative Research: Decomposing the effects of diversity	Alison J Haupt	Marine Science	AA/Science	\$ 106,851	\$ 45,946	\$ 152,797	\$152,797				10/1/18-9/30/23
15	Federal	R&D	Utah State Univ-US Army Corps of Engi	A data driven decision support system to identify optimal lar	John Robert Olson	AES	AA/Science	\$ 124,965	\$ 53,734	\$ 178,699	\$122,127	\$39,561	\$17,011	\$56,572	7/13/18-6/13/23
16	Federal	R&D	National Science Foundation	RUI: Molecular Design for Redox Active Deep Eutectic Solv	John Goeltz	Bio & Chem	AA/Science	\$ 180,200	\$ 64,800	\$ 245,000	\$245,000				9/1/18-8/31/22
17	Federal	R&D-Studer	National Science Foundation	Replication of cohort-based computer science bachelor's degr	Sathya Narayanan	SCD	AA/Science	\$ 4,822,009	\$ 176,971	\$ 4,998,980	\$4,998,980				10/1/18-9/30/23
18	Federal	Academic St	US Dept. Education	The Making Accessible and Effective Systems for Teacher R	Cathi Draper Rodriguez	Education & L	AA/Education	\$ 3,000,000	\$ -	\$ 3,000,000	\$3,000,000				10/1/18-9/30/22
19	Federal	R&D-Studer	UEI Sacramento -National Science Found	NSF LSAMP 2018-2023 Yr 4	John Banks	UROC	AA/GS&R	\$ 15,000	\$ -	\$ 15,000	\$15,000				9/1/21-8/31/22
20	Federal	Academic St	National Endowment for the Humanities	Improving Learning and Achievement with Reading/Writing-	Nelson Graff	CAD	AA/	\$ 69,539	\$ 29,902	\$ 99,441	\$99,441				1/1/19-12/31/23
21	Federal	Student Sch	US Dept. Commerce/NOAA/NMFS	CSU COAST Summer Internships-NOAA NMFS	Krista P Kamer	COAST	AA/GS&R	\$ 20,000	\$ -	\$ 20,000	\$17,000	\$3,000		\$3,000	5/1/19-9/30/22
22	Federal	Academic St	Monterey Peninsula College - US Dept o	Title V: Ready Set Transfer Yr 1-4	Sharon Anderson	Advising	AA/Univ Colleg	\$ 380,346	\$ -	\$ 380,346	\$380,346				10/1/18-9/30/22
23	Federal	Academic St	US Dept of Education	College Assistance Migrant Program (CAMP), Yr 1,2,3,4	Clementina Macias	College Supp	SA/Enrollment M	\$ 1,581,186	\$ 118,814	\$ 1,700,000	\$1,275,000	\$395,296	\$29,704	\$425,000	7/1/19-6/30/23
24	Federal	R&D	National Endowment for the Humanities	Tektite Revisited Documentary	Meghan O'Hara	CAT	AA/AHSS	\$ 52,440	\$ 22,549	\$ 74,989	\$74,989				8/1/19-10/31/22
25	Federal	R&D	US Fish & Wildlife Service	Evaluation of Monterey Gilla Management, Current Extent,	Fred Watson	AES	AA/Science	\$ 16,771	\$ 2,935	\$ 19,706	\$19,706				9/1/19-12/31/24
26	Federal	R&D	US Dept. Agriculture/ARS	Site-specific soil pest management in strawberry and vegetabl	Forrest Melton	AES	AA/Science	\$ 346,530	\$ 35,557	\$ 382,087	\$280,697	\$92,173	\$9,217	\$101,390	8/1/19-7/31/23
27	Federal	R&D	University of Minnesota - NSF	Agricultural Microbiome Research Network Yr. 1-3	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 80,418	\$ 34,580	\$ 114,998	\$114,998				8/1/2019-7/31/23
28	Federal	Public Servi	Economic Development Administration/I	Startup Monterey Bay Launchpad (Full Proposal) Pre was K	John B Barbeau, Shyam Kamal	Business	AA/Business	\$ 298,829	\$ 127,206	\$ 426,035	\$426,035				9/1/2019-8/31/22
29	Federal	Public Servi	Bureau of Land Management/U.S. Depart	RON Restoration at BLM 2019-2022	Laura L Lienk	AES	AA/Science	\$ 137,207	\$ 24,011	\$ 161,218	\$161,218				10/1/2019-9/30/22
30	Federal	Academic St	U.S. Department of Education	Project POPPY: Preparing Observational Practitioners throug	Erin M Ramirez, Megan Sulsbe	Teacher Educat	AA/Education	\$ 2,696,722	\$ 27,154	\$ 2,723,876	\$2,723,876				10/1/2019-9/30/22
31	Federal	Academic St	Cabrillo College - US Dept. Ed Title V	Cabrillo/CSUMB Partnership to Strengthen Transfer Pathwa	Sharon Anderson	Advising, Car	AA/Univ Colleg	\$ 130,976	\$ -	\$ 130,976	\$130,976				10/1/20-9/30/22
32	Federal	Academic St	Cal Poly Pomona Fdn/ARI-US Dept. Ag	No More Silos: Multi-Disciplinary and Data Intensive Traini	Sam Robinson	HCOM	AA/AHSS	\$ 62,224	\$ 26,757	\$ 88,981	\$88,981				9/1/19-8/31/23
33	Federal	R&D	NOAA	Image-based Approaches to Surveying Corals at Mid-Depth	James Lindholm	Marine Science	AA/Science	\$ 27,553	\$ 11,848	\$ 39,401	\$39,401				8/1/20-12/31/22
34	Federal	R&D-Public	National Institutes of Health	Science teaching through the arts: Bringing state-of-the-art en	Corin Slown, Enid Ryce	Bio & Chem/	AA/Science & Al	\$ 749,325	\$ 49,188	\$ 798,513	\$535,361	\$247,103	\$16,049	\$263,152	7/16/20-5/31/23
35	Federal	R&D-Acadi	National Science Foundation	Collaborative Research: Squirreling Around for Science:	Jennifer Duggan	AES	AA/Science	\$ 104,521	\$ 44,942	\$ 149,463	\$149,463				7/16/20-7/31/23
36	Federal	Academic St	U.S. Department of Education	Student Support Services Program Yr 1	Clementina Macias	College Supp	SA/Enrollment M	\$ 548,750	\$ 40,700	\$ 589,450	\$589,450				9/1/20-8/31/22
37	Federal	Academic St	U.S. Department of Education	Student Support Services Program-STEM Yr 1	Clementina Macias	College Supp	SA/Enrollment M	\$ 486,459	\$ 37,316	\$ 523,775	\$523,775				9/1/20-8/31/22
38	Federal	R&D-Studer	National Science Foundation	Geo-Bridge Program	Corey Garza	Marine Science	AA/Science	\$ 365,726	\$ 25,157	\$ 390,883	\$390,883				9/1/20-8/31/23
39	Federal	R&D-Public	National Science Foundation	REU Renewal from the Intertidal to the Deep Ocean:	Corey Garza	Marine Science	AA/Science	\$ 759,715	\$ 65,452	\$ 825,167	\$825,167				7/15/20-6/30/24
40	Federal	R&D	National Science Foundation	MASDER: Motivational Attitudes in Statistics and Data	Alana Unfried	Math & Statis	AA/Science	\$ 463,820	\$ 136,178	\$ 599,998	\$599,998				10/1/20-9/30/23
41	Federal	Academic St	U.S. Department of Education	Title V-METAS Mentorship & Equity in Transitions to	Leslie Williams/Andrew Drum	Dean of Studie	SA & AA	\$ 1,199,679	\$ -	\$ 1,199,679	\$1,199,679				10/1/20-9/31/22
42	Federal	R&D-Studer	National Science Foundation	Geo Futures (SACNAS)	Corey Garza	Marine Science	AA/Science	\$ 77,204	\$ 22,405	\$ 99,609	\$99,609				8/1/20-7/31/22
43	Federal	R&D-Acadi	UC Regents/Santa Cruz - NIH	Institutional Research & Academic Career Development	Aparna Sreenivasan	Bio & Chem	AA/Science	\$ 139,018	\$ 11,122	\$ 150,140	\$94,577	\$51,447	\$4,116	\$55,563	8/14/20-7/31/22
44	Federal	R&D	UC Regents/Santa Cruz - NOAA	Effects of urchin reproduction, recruitment, and herbivory	Alison J Haupt	Marine Science	AA/Science	\$ 261,823	\$ 104,778	\$ 366,601	\$356,601	\$6,993	\$3,007	\$10,000	10/8/20-5/30/23
45	Federal	R&D	Florida A&M University - NOAA	Center for Coastal & Marine Ecosystems Yr 5	Corey Garza	Marine Science	AA/Science	\$ 272,962	\$ 12,610	\$ 285,572	\$285,572				9/1/20-8/31/22
46	Federal	R&D	CA Dept. Food & Ag - US Dept. Ag	Extension of CropManage Decision-Support System to	Lee Johnson/Forrest Melton	AES	AA/Science	\$ 371,320	\$ 14,218	\$ 385,538	\$385,538				11/1/20-4/30/23
47	Federal	R&D	Florida A&M University - NOAA	Ecological Significance of Living Shorelines in Selected Coa	Corey Garza	Marine Science	AA/Science	\$ 10,000	\$ -	\$ 10,000	\$10,000				9/19/20-8/31/23
48	Federal	Student Grar	CA Sea Grant - NOAA	COAST Scholars in Training 20-21	Krista P Kamer	COAST	AA/GS&R	\$ 6,000	\$ -	\$ 6,000	\$6,000				10/1/20-1/31/22
49	Federal	R&D	National Science Foundation	SG/RUI: The evolution of extreme phenotypic convergence	Susan Elizabeth Alter	Bio & Chem	AA/Science	\$ 35,613	\$ 8,434	\$ 44,047	\$44,047				10/1/20-5/1/23
50	Federal	Public Servi	US Dept of Education	Coastal GearUP Year 2	Kim M Barber	PreCollege Pr	SA/Enrollment M	\$ 2,330,176	\$ 185,854	\$ 2,516,030	\$2,516,030				9/30/20-9/29/22
51	Federal	R&D-Studer	National Science Foundation	Robert Noyce Collaborative Track 1:Rural Integrated STEM	Corin Slown/Dennis Kombe/Jo	Bio & Chem/	AA/Science & Ec	\$ 626,310	\$ 113,433	\$ 739,743	\$739,743				3/1/21-2/28/24
52	Federal	Public Servi	City of Salinas - HUD	CARES: Emergency Solutions Grant	Maria Gurola/Kai Martinez	Social Work	AA/HSHS	\$ 1,000,000	\$ 200,000	\$ 1,200,000	\$1,200,000				3/1/21-12/31/22
53	Federal	R&D	US Geological Society	Mapping intertidal biofilm community, quantity, and	Sherry L. Palacios	Marine Science	AA/Science	\$ 28,437	\$ 12,228	\$ 40,665	\$40,665				2/23/21-12/31/22
54	Federal	Public Servi	US Economic Development Agency	CARES ACT: Restart and Restore	John B Barbeau	Business	AA/Business	\$ 524,343	\$ 225,468	\$ 749,811	\$749,811				4/8/21-3/31/23
55	Federal	R&D	Florida A&M University-NOAA/US Dep	Augmentation to Center for Coastal & Marine Ecosystems	Corey Garza	Marine Science	AA/Science	\$ 91,962	\$ 3,486	\$ 95,448	\$95,448				9/1/20-8/31/22
56	Federal	Student Sch	National Marine Sanctuary Fdn-NOAA	CSU COAST Summer Internships 2021-2026	Krista P Kamer	COAST	AA/GS&R	\$ 12,000	\$ -	\$ 12,000	\$12,000				5/1/21-4/30/26
57	Federal	Research and	National Institute of Food and Agricultur	FUTURE-Ag: Fostering Undergraduate student Training, U	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 155,606	\$ 66,910	\$ 222,516	\$222,516				8/1/2021-7/31/23
58	Federal	Student Grar	U.S. Department of Health and Human S	HRSA BHWET Program for Social Work Professionals	Maria A Gurola	Social Work	AA/HSHS	\$ 905,599	\$ 27,648	\$ 933,247	\$466,595	\$452,826	\$13,826	\$466,652	7/1/2021-6/30/23
59	Federal	Research and	Pace University-National Science Founda	Modeling the Human Harbor; Applying Computational	Susan Elizabeth Alter	Bio & Chem	AA/Science	\$ 6,993	\$ 3,007	\$ 10,000	\$10,000				4/28/2021-8/31/22
60	Federal	Research and	Agricultural Research Services	Geographic Patterns in Cropland Use in Strawberry Productio	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 18,177	\$ 1,818	\$ 19,995	\$19,995				6/1/2021-5/30/23
61	Federal	Public Servi	Napa County Office of Education	AmeriCorps 2021-22	Seth S Pollack	Service Learni	AA/Univ Colleg	\$ 94,502	\$ -	\$ 94,502	\$94,502				8/1/2021-7/31/22
62	Federal	Research and	National Science Foundation	MRI: Acquisition of a Benchtop NMR Instrument with Pul:	Corin D Slown, Amy Marie Dr	Bio & Chem	AA/Science	\$ 145,200	\$ -	\$ 145,200	\$145,200				8/1/2021-7/30/24
63	Federal	Academic St	U.S. Department of Agriculture	Increased degree attainment in FANH Sciences: Creating a re	John Banks, Jose Pablo Dundor	UROC-BIO &	AA/GS&R-COS	\$ 842,912	\$ 132,402	\$ 975,314	\$975,314				9/1/2021-8/31/25
64	Federal	R&D	National Science Foundation	NSF Ocean Science Diversity Initiative	Corey Garza	Marine Science	AA/Science	\$ 244,852	\$ 55,148	\$ 300,000	\$300,000				7/1/21-6/30/23
65	Federal	Public Servi	City of Salinas - HUD	CARES Act: Case Management Services - Rapid Rehousing	Maria A Gurola, Renie E Rond	Social Work	AA/HSHS	\$ 185,831	\$ 36,118	\$ 221,949	\$221,949				7/1/21-12/31/22
66	Federal	Public Servi	Bureau of Land Management/U.S. Depart	Return of the Natives to BLM/FONP Ensuring Native Plant	Laura L Lienk	AES	AA/Science	\$ 194,312	\$ 34,005	\$ 228,317	\$228,317				10/1/21-9/30/24
67	Federal	R&D	National Science Foundation	HSI Pilot Project: Inclusive and Integrative STEM											

**University Corporation at Monterey Bay
External Funding Report - Sponsored Programs
FY22-23 Awards - 2nd Quarter 7/1/22 - 12/31/22**

135	State	R&D	CSU ARI-CSU Chancellor's Office	Carbon Nanoparticle Application in Arugula Production as a	Arun D Jani	Bio & Chem	AA/Science	\$	53,119	\$	-	\$	53,119	\$0	\$	53,119	\$	-	\$	53,119	7/1/22-6/30/23
136	State	Academic St	California Office of Statewide Health Pla	Song-Brown Primary Care Physician Assistant Training Pro	Christopher P Forest, Valerie Je	Physician Ass	AA/HS/SHS	\$	60,000	\$	-	\$	60,000	\$0	\$	60,000	\$	-	\$	60,000	6/30/22-8/31/23
137	State	R&D	CSU ARI-CSU Chancellor's Office	ARI-S Quantifying & Modeling soil health nutrient manager	Arlene L Haffa	Bio & Chem	AA/Science	\$	112,505	\$	-	\$	112,505	\$0	\$	112,505	\$	-	\$	112,505	7/1/22-6/30/23
138	State	Public Servi	Regents of UC/University of California (State Funds Monterey Bay World Language Project 22-23	Yoshiko Saito Abbott	WLC	AA/AHSS	\$	16,667	\$	833	\$	17,500	\$0	\$	16,667	\$	833	\$	17,500	7/1/22-6/30/23
139	State	R&D	Resource Conservation District of Monte	RCSMC 2022 Vegetation Mapping	John Robert Olson	AES	AA/Science	\$	20,833	\$	4,167	\$	25,000	\$0	\$	20,833	\$	4,167	\$	25,000	6/30/22-12/31/22
140	State	Public Servi	CA Volunteers-State of California	California For All College Fellowships-Dreamers	Christine Eileen Hernandez	Service Learni	AA/Univ Colleg	\$	596,423	\$	93,577	\$	690,000	\$0	\$	596,423	\$	93,577	\$	690,000	5/4/22-5/1/24
141	State	Academic St	Fdn. For CA Community Colleges-CA Governor's Office of Planning & Research		Michael B Scott, Jennifer Click	Math & Statist	AA/Science	\$	92,593	\$	7,407	\$	100,000	\$0	\$	92,593	\$	7,407	\$	100,000	7/1/22-6/30/24
142	State	Public Servi	California Office of Statewide Health Pla	Map It: The Road to a Professional Career in Healthcare	Alyssa E Erikson	Nursing	AA/HS/SHS	\$	23,148	\$	1,852	\$	25,000	\$0	\$	23,148	\$	1,852	\$	25,000	6/30/22-6/30/23
143	State	Public Servi	County of Monterey/Dept. Social Service	County-Wide Outreach and Case Management	Maria A Gurrola	Social Work	AA/HS/SHS	\$	209,175	\$	40,825	\$	250,000	\$0	\$	209,175	\$	40,825	\$	250,000	7/1/22-6/30/23
144	State	Public Servi	City of Salinas - State of California	Creeks of Salinas Encampment Restoration and Clean Up	Laura L Lienk	Service Learni	AA/Univ Colleg	\$	55,742	\$	2,787	\$	58,529	\$0	\$	55,742	\$	2,787	\$	58,529	7/1/22-6/30/24
145	State	Public Servi	Coalition of Homeless Services Provider	County-Wide Outreach and Case Management - Coalition for	Maria A Gurrola, Kai Medina-M	Social Work	AA/HS/SHS	\$	204,121	\$	40,825	\$	244,946	\$0	\$	204,121	\$	40,825	\$	244,946	7/1/22-6/30/23
146	State	R&D	Regents of UC/Davis-California Leafy G	Screening of romaine and head lettuce varieties for tolerance t	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	4,996	\$	-	\$	4,996	\$0	\$	4,996	\$	-	\$	4,996	8/1/22-3/31/23
147	State	R&D	Vilmorin North America-CA Dept. Food	Exploring Baby Leaf Germplasm for Disease Resistance, Dro	Arun D Jani	Bio & Chem	AA/Science	\$	93,642	\$	5,471	\$	99,113	\$0	\$	93,642	\$	5,471	\$	99,113	10/1/23-12/31/25
						TOTAL STATE		\$	8,178,795	\$	1,134,955	\$	9,313,750	\$	7,431,250	\$	1,669,553	\$	212,947	\$	1,882,500

Type	Activity Ty	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY21	New Awards Direct FY22	New Awards Indirect FY22	Total New Awards FY22	Award Term
148	Local	Public Servi	Central CA Coast Alliance for Health	Everyone's Harvest Fresh Rx	Vanessa Lopez-Littleton/Elizabeth	HHSPP/Bio &	\$	8,100	\$	8,100	\$	\$8,100	\$	9/1/20-8/31/22
149	Local	Public Servi	Monterey Peninsula Regional Park Distri	MPRPD Planning & Restoration at Marina Dunes	Laura L Lienk	AES	\$	82,558	\$	89,163	\$	\$66,163	\$	10/15/20-6/30/23
150	Local	Public Servi	Transportation Agency for Monterey Cou	SCYP Partnership with TAMC	Daniel M Fernandez	AES	\$	99,024	\$	23,347	\$	\$122,371	\$	8/25/21-6/30/26
151	Local	Public Servi	Monterey Peninsula Unified School Distri	Eco-Ambassadors School Field Trips 22-23 5th & 6th grade	Laura L Lienk	AES	\$	33,636	\$	3,364	\$	\$0	\$	7/27/22-6/30/23
152	Local	Public Servi	Monterey Peninsula Unified School Distri	Eco-Ambassadors 22-23 School Gardens	Laura L Lienk	AES	\$	10,909	\$	1,091	\$	\$0	\$	7/27/22-6/30/23
153	Local	Public Servi	Monterey County Fish & Game Advisor	Habitat Improvement for the Monarch Butterfly 2022	Laura L Lienk	AES	\$	3,843	\$	-	\$	\$0	\$	7/1/22-4/30/23
154	Local	Public Servi	Monterey Peninsula Unified School Distri	MPUSD Precollege Summer Program	Kim M Barber	SA/Enrollment M	\$	9,091	\$	909	\$	\$0	\$	6/1/22-7/30/22
						TOTAL LOCAL GOV	\$	247,161	\$	35,316	\$	282,477	\$	196,634

Type	Activity Ty	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY21	New Awards Direct FY22	New Awards Indirect FY22	Total New Awards FY22	Award Term	
155	Other	Student Sch	Southwest Wetlands Interpretive Associat	CSU COAST-Tijuana River NERR Summer Internships	Krista P Kamer	COAST	\$	14,000	\$	14,000	\$	\$14,000	\$	5/14/18-5/31/23	
156	Other	Student Sch	CA Ocean Science Trust	CSU COAST Summer Internship-CAL OST	Krista P Kamer	COAST	\$	14,000	\$	14,000	\$	\$14,000	\$	6/1/18-5/31/23	
157	Other	R&D	AECOM - CalAm Water	5-Year Carmel Monitoring	John Robert Olson, Doug Smitl	AES	\$	37,203	\$	15,997	\$	\$53,200	\$	5/14/18-5/13/23	
158	Other	Student Sch	Google LLC	Computing Talent Initiative	Sathya Narayanan	SCD	\$	100,000	\$	-	\$	\$100,000	\$	12/15/19-12/14/23	
159	Other	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 20-21	John Robert Olson	AES	\$	37,017	\$	5,552	\$	\$42,569	\$	7/1/20-1/31/23	
160	Other	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 20-22	John Robert Olson	AES	\$	13,187	\$	1,978	\$	\$15,165	\$	7/1/20-1/31/23	
161	Other	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 20-23	John Robert Olson	AES	\$	13,627	\$	2,044	\$	\$15,671	\$	7/1/20-1/31/23	
162	Other	R&D	California Trout	Predicting fish assemblages in California estuaries	John Robert Olson	AES	\$	18,182	\$	1,818	\$	\$20,000	\$	12/23/20-1/31/23	
163	Other	Student Sch	Smithsonian Environmental Research Ce	CSU COAST Summer Internship 2020-2025 SERC	Krista P Kamer	COAST	\$	3,000	\$	-	\$	\$3,000	\$	6/1/21-8/31/22	
164	Other	Public Servi	Napa County Office of Education-Corpor	MATCH: AmeriCorps VIP 2021-22	Seth S Pollack	Service Learni	\$	115,605	\$	-	\$	\$115,605	\$	8/1/2021-7/31/22	
165	Other	R&D	Carmel River Watershed Conservancy	CRWC 2022 Watershed Report Card Update	John Robert Olson	AES	\$	14,660	\$	1,466	\$	\$16,126	\$	3/1/22-12/31/22	
166	Other	Student Sch	San Francisco Bay Conservation and Dev	CSU COAST Summer Internships 2022-26 San Francisco B	Krista P Kamer	COAST	\$	3,000	\$	-	\$	\$3,000	\$	6/1/22-5/31/23	
167	Other	R&D	Virginia Polytechnic Institute and State U	Fog harp and standard fog collector field intercomparisons	Daniel M Fernandez	AES	\$	43,478	\$	10,855	\$	\$54,333	\$	4/1/22-12/31/22	
168	Other	R&D	Central Coast Water Quality Preservation	Community Monitoring Program Annual Report	John Robert Olson	AES	\$	18,268	\$	7,855	\$	\$0	\$	2/1/22-12/31/22	
169	Other	Public Servi	Variety of Partners	AmeriCorps VIP 22-23 Match account	Laura L Lienk	Service Learni	\$	126,000	\$	-	\$	\$0	\$	8/1/22-7/31/23	
170	Other	Public Servi	Big Sur Land Trust	Carr Lake Demonstration Garden 2022-2023 Weekend Stewa	Laura L Lienk	AES	\$	13,911	\$	2,087	\$	\$0	\$	\$15,998	8/24/22-6/30/23
171	Other	Academic St	CSU Creates/CSU Office of the Chancell	The AHRC/MM Project: Supporting Black Students Throug	Vanessa Lopez-Littleton, Denni	HHS&PP/Edu	\$	87,619	\$	4,381	\$	\$0	\$	\$92,000	7/1/22-7/1/23
172	Other	R&D	American Chemical Society	Investigating Inhibitor Selectivity and Affinity to Prevent As	Arunkumar Sharma	Bio & Chem	\$	30,000	\$	-	\$	\$0	\$	\$30,000	9/1/22-8/31/24
173	Other	Public Servi	Shea Homes	Restoration at Hilltop Park, Marina	Laura L Lienk	AES	\$	16,697	\$	7,179	\$	\$0	\$	\$23,876	9/1/22-6/30/23
						TOTAL OTHER	\$	719,454	\$	61,212	\$	780,666	\$	466,669	

GRAND TOTAL \$ **68,963,944** \$ **9,473,565** \$ **78,437,509** \$ **68,921,571** \$ **8,611,686** \$ **904,252** \$ **9,515,938**

**University Corporation at Monterey Bay
External Funding Report - Sponsored Programs
FY22-23 ACTIVITY TYPE 2nd Quarter 7/1/22-12/31/22**

ACADEMIC SUPPORT

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY22	New Awards Direct FY23	New Awards Indirect FY23	Total New Awards FY23	Award Term
1	Federal Academic	National Endowment for the Humanities	Improving Learning and Achievement with Reading/Writing	Nelson Graff	CAD	AA/	\$ 69,539	\$ 29,902	\$ 99,441	\$99,441				1/1/19-12/31/23
2	Federal Academic	Cal Poly Pomona Fdn/ARI-US Dept.	No More Silos: Multi-Disciplinary and Data Intensive	Sam Robinson	HCOM	AA/AHSS	\$ 62,224	\$ 26,757	\$ 88,981	\$88,981				9/1/19-8/31/23
3	Federal Academic	US Dept. Education	The Making Accessible and Effective Systems for Teacher	Cathi Draper Rodriguez	Education &	AA/Education	\$ 3,000,000	\$ -	\$ 3,000,000	\$3,000,000				10/1/18-9/30/22
4	State Academic	CA Commission on Teacher	SPED-Education Specialist Mild/Moderate and	Cathi Draper-Rodriguez	Education &	AA/Education	\$ 227,273	\$ 22,727	\$ 250,000	\$250,000				12/5/16-12/31/23
5	State Academic	CA Commission on Teacher	LS-MS-Bilingual Authorization ITEP-Bilingual Elementary	Cathi Draper-Rodriguez	Education &	AA/Education	\$ 227,273	\$ 22,727	\$ 250,000	\$250,000				12/5/16-12/31/23
6	Federal Academic	U.S. Department of Education	Project POPPY: Preparing Observational Practitioners	Erin M Ramirez, Megan	Teacher	AA/Education	\$ 2,696,722	\$ 27,154	\$ 2,723,876	\$2,723,876				10/1/2019-9/30/22
7	Federal Academic	US Dept of Education	Ronald E. McNair Scholars 2017-2022 Yr 5	John Banks	UROC	AA/GS&R	\$ 252,414	\$ 9,473	\$ 261,887	\$261,887				10/1/21-9/30/22
8	State Academic	UEI Sacramento - Chancellor's Office	CSU LSAMP Yr 4 STEM Pathways & Research Alliance+	John Banks	UROC	AA/GS&R	\$ 132,830	\$ -	\$ 132,830	\$132,830				9/1/21-8/31/22
9	State Academic	UEI Sacramento - Chancellor's Office	CSU LSAMP Yr 5 STEM Pathways & Research Alliance+	John Banks	UROC	AA/GS&R	\$ 30,000	\$ -	\$ 30,000	\$0	\$30,000	\$0	\$30,000	9/1/22-8/31/23
10	Federal Academic	US Dept of Education	Ronald E. McNair Scholars 2022-2027 Yr 1	John E Banks	UROC	AA/GS&R	\$ 252,044	\$ 9,844	\$ 261,888	\$0	\$252,044	\$9,844	\$261,888	10/1/22-9/30/23
11	Federal Academic	U.S. Department of Agriculture	Increased degree attainment in FANH Sciences: Creating a	John Banks, Jose Pablo	UROC-BIO	AA/GS&R-COS	\$ 842,912	\$ 132,402	\$ 975,314	\$975,314				9/1/2021-8/31/25
12	Federal Academic	US Dept of Education	Research-based Interventions to Increase STEM Degree	John Banks/Tom Horvath	UROC/CS/U	AA/GS&R-COS	\$ 1,156,176	\$ 52,894	\$ 1,209,070	\$1,209,070				10/1/20-9/30/22
13	State Academic	California Office of Statewide Health	Song Brown for 21-22	Christopher P Forest, Valerie	Physician	AA/HS/SHS	\$ 60,000	\$ -	\$ 60,000	\$60,000				7/1/2021-8/30/22
14	State Academic	California Office of Statewide Health	Song-Brown Primary Care Physician Assistant Training	Christopher P Forest, Valerie	Physician	AA/HS/SHS	\$ 60,000	\$ -	\$ 60,000	\$0	\$60,000	\$-	\$60,000	6/30/22-8/31/23
15	Other Academic	CSU Creates/CSU Office of the	The AHRC/MM Project: Supporting Black Students	Vanessa Lopez-Littleton,	HHS&PP/Ed	AA/HS/SHS/Educa	\$ 87,619	\$ 4,381	\$ 92,000	\$0	\$87,619	\$4,381	\$92,000	7/1/22-7/1/23
16	Federal Academic	US Dept of Education	Building an Effective Ecosystem for Equity in STEM	Daniel M Fernandez	College of	AA/Science	\$ 600,000	\$ -	\$ 600,000	\$0	\$600,000	\$-	\$600,000	10/1/22-9/30/23
17	State Academic	Fdn. For CA Community Colleges-CA	Increasing Student Success Through Faculty Engagement An	Michael B Scott, Jennifer	Math &	AA/Science	\$ 92,593	\$ 7,407	\$ 100,000	\$0	\$92,593	\$7,407	\$100,000	7/1/22-6/30/24
18	State Academic	Fdn for CA Community Colleges-	GPS to STEM Success: Applications, Standards-Based	Alison G Lynch, Lipika Deka	Mathematics	AA/Science	\$ 96,263	\$ 3,737	\$ 100,000	\$100,000				8/1/2021-12/31/22
19	Federal Academic	Monterey Peninsula College - US Dept	Title V: Ready Set Transfer Yr 1-4	Sharon Anderson	Advising	AA/Univ College	\$ 380,346	\$ -	\$ 380,346	\$380,346				10/1/18-9/30/22
20	Federal Academic	Cabrillo College - US Dept. Ed Title V	Cabrillo/CSUMB Partnership to Strengthen Transfer	Sharon Anderson	Advising,	AA/Univ College	\$ 130,976	\$ -	\$ 130,976	\$130,976				10/1/20-9/30/22
21	Federal Academic	U.S. Department of Education	Title V-METAS Mentorship & Equity in Transitions to	Leslie Williams/Andrew	Dean of	SA & AA	\$ 1,199,679	\$ -	\$ 1,199,679	\$1,199,679				10/1/20-9/31/22
22	Federal Academic	US Dept of Education	College Assistance Migrant Program (CAMP), Yr 1,2,3,4	Clementina Macias	College	SA/Enrollment	\$ 1,581,186	\$ 118,814	\$ 1,700,000	\$1,275,000	\$395,296	\$29,704	\$425,000	7/1/19-6/30/23
23	Federal Academic	U.S. Department of Education	Student Support Services Program Yr 1	Clementina Macias	College	SA/Enrollment	\$ 548,750	\$ 40,700	\$ 589,450	\$589,450				9/1/20-8/31/22
24	Federal Academic	U.S. Department of Education	Student Support Services Program-STEM Yr 1	Clementina Macias	College	SA/Enrollment	\$ 486,459	\$ 37,316	\$ 523,775	\$523,775				9/1/20-8/31/22
Total Academic Support							\$ 14,273,278	\$ 546,235	\$ 14,819,513	\$ 13,250,625	\$ 1,517,552	\$ 51,336	\$ 1,568,888	

INSTITUTIONAL SUPPORT

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY22	New Awards Direct FY23	New Awards Indirect FY23	Total New Awards FY23	Award Term
1	State Institutional	CA Dept. Forestry & Fire Protection	CSUMB Wildfire Fuel Reduction Project	Ken Folsom	Emergency	A&F/Police	\$ 245,536	\$ 29,464	\$ 275,000	\$275,000				11/30/21-3/15/24
Total Institutional Support							\$ 245,536	\$ 29,464	\$ 275,000	\$ 275,000	\$ -	\$ -	\$ -	

PUBLIC SERVICE

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY22	New Awards Direct FY23	New Awards Indirect FY23	Total New Awards FY23	Award Term
1	Federal Public	Regents of UC/Office of the President	Federal Monterey Bay World Languages 22-23	Yoshiko Saito Abbott	WLC	AA/AHSS	\$ 27,778	\$ 2,222	\$ 30,000	\$0	\$27,778	\$2,222	\$30,000	7/1/22-6/30/23
2	State Public	Regents of UC/University of California	State Funds Monterey Bay World Language Project 22-23	Yoshiko Saito Abbott	WLC	AA/AHSS	\$ 16,667	\$ 833	\$ 17,500	\$0	\$16,667	\$833	\$17,500	7/1/22-6/30/23
3	State Public	Regents of UC/UCOP	Monterey Bay World Language Project-State Funds 21-22	Yoshiko Saito-Abbott	WLC	AA/AHSS	\$ 16,667	\$ 833	\$ 17,500	\$17,500				7/1/21-6/30/23
4	Federal Public	US Economic Development Agency	CARES ACT: Restart and Restore	John B Barbeau	Business	AA/Business	\$ 524,343	\$ 225,468	\$ 749,811	\$749,811				4/8/21-3/31/23
5	Federal Public	Economic Development	Startup Monterey Bay Launchpad (Full Proposal) Pre was K	John B Barbeau, Shyam	Business	AA/Business	\$ 298,829	\$ 127,206	\$ 426,035	\$426,035				9/1/2019-8/31/22
6	State Public	Monterey County Behavioral Health	Prop 47-Cohort #2 Evaluation	Ignacio Navarro/Kim Judson	HHSP	AA/HS/SHS	\$ 272,727	\$ 27,273	\$ 300,000	\$300,000				8/15/19-5/15/23
7	Federal Public	Monterey County Health Dept - US	Monterey County Health Dept. Health Literacy Evaluation	Kim Judson, Ignacio Navarro	HHSP	AA/HS/SHS	\$ 279,720	\$ 120,280	\$ 400,000	\$400,000				9/1/21-6/30/23
8	Federal Public	County of Monterey/Health	Health Equity Plan for Monterey County Health Department	Vanessa A Lopez-Littleton	HHSP	AA/HS/SHS	\$ 34,964	\$ 15,035	\$ 49,999	\$0	\$34,964	\$15,035	\$49,999	9/26/22-5/30/23
9	State Public	California Office of Statewide Health	Map It: The Road to a Professional Career in Healthcare	Alyssa E Erikson	Nursing	AA/HS/SHS	\$ 23,148	\$ 1,852	\$ 25,000	\$0	\$23,148	\$1,852	\$25,000	6/30/22-6/30/23
10	State Public	County of Monterey/Dept. Social	County-Wide Outreach and Case Management	Maria A Gurrola	Social Work	AA/HS/SHS	\$ 209,175	\$ 40,825	\$ 250,000	\$0	\$209,175	\$40,825	\$250,000	7/1/22-6/30/23
11	Federal Public	City of Salinas - US Dept Treasury	Emergency Motel Program	Maria A Gurrola, Kai Medina-	Social Work	AA/HS/SHS	\$ 252,224	\$ 50,445	\$ 302,669	\$0	\$252,224	\$50,445	\$302,669	7/1/22-6/30/23
12	State Public	Coalition of Homeless Services	County-Wide Outreach and Case Management - Coalition	Maria A Gurrola, Kai Medina-	Social Work	AA/HS/SHS	\$ 204,121	\$ 40,825	\$ 244,946	\$0	\$204,121	\$40,825	\$244,946	7/1/22-6/30/23
13	Federal Public	City of Salinas - HUD	CARES Act: Case Management Services - Rapid	Maria A Gurrola, Renie E	Social Work	AA/HS/SHS	\$ 185,831	\$ 36,118	\$ 221,949	\$221,949				7/1/21-12/31/22

**University Corporation at Monterey Bay
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FY22-23 ACTIVITY TYPE 2nd Quarter 7/1/22-12/31/22**

14	Federal	Public	City of Salinas - FEMA/Homeland	Salinas Project Roomkey-ARPA	Maria Gurrola, Kai Martinez	Social Work	AA/HSHS	\$ 381,527	\$ 74,473	\$ 456,000	\$456,000							11/2/21-10/31/22	
15	State	Public	City of Salinas-CA Dept. Housing &	CESHI Continuation	Maria Gurrola, Kai Martinez	Social Work	AA/HSHS	\$ 42,706	\$ 8,541	\$ 51,247	\$51,247							3/1/22-7/31/22	
16	Federal	Public	City of Salinas - HUD	CARES: Emergency Solutions Grant	Maria Gurrola/Kai Martinez	Social Work	AA/HSHS	\$ 1,000,000	\$ 200,000	\$ 1,200,000	\$1,200,000							3/1/21-12/31/22	
17	Local	Public	Central CA Coast Alliance for Health	Everyone's Harvest Fresh Rx	Vanessa Lopez-	HHSPP/Bio	AA/HSHS/Scienc	\$ 8,100	\$ -	\$ 8,100	\$8,100							9/1/20-8/31/22	
18	Local	Public	Transportation Agency for Monterey	SCYP Partnership with TAMC	Daniel M Fernandez	AES	AA/Science	\$ 99,024	\$ 23,347	\$ 122,371	\$122,371							8/25/21-6/30/26	
19	Federal	Public	Bureau of Land Management/U.S.	RON Restoration at BLM 2019-2022	Laura L Lienk	AES	AA/Science	\$ 137,207	\$ 24,011	\$ 161,218	\$161,218							10/1/2019-9/30/22	
20	Federal	Public	Bureau of Land Management/U.S.	Return of the Natives to BLM/FONP Ensuring Native Plant	Laura L Lienk	AES	AA/Science	\$ 194,312	\$ 34,005	\$ 228,317	\$228,317							10/1/21-9/30/24	
21	Federal	Public	Bureau of Land Management/U.S.	Return of the Natives and Grad Assistants Help Restore Ft	Laura L Lienk	AES	AA/Science	\$ 103,530	\$ 18,118	\$ 121,648	\$0	\$ 103,530	\$ 18,118	\$ 121,648				10/1/22-9/30/23	
22	Local	Public	Monterey Peninsula Regional Park	MPRPD Planning & Restoration at Marina Dunes	Laura L Lienk	AES	AA/Science	\$ 82,558	\$ 6,605	\$ 89,163	\$66,163	\$ 21,296	\$ 1,704	\$ 23,000				10/15/20-6/30/23	
23	Local	Public	Monterey Peninsula Unified School	Eco-Ambassadors School Field Trips 22-23 5th & 6th grade	Laura L Lienk	AES	AA/Science	\$ 33,636	\$ 3,364	\$ 37,000	\$0	\$ 33,636	\$ 3,364	\$ 37,000				7/27/22-6/30/23	
24	Local	Public	Monterey Peninsula Unified School	Eco-Ambassadors 22-23 School Gardens	Laura L Lienk	AES	AA/Science	\$ 10,909	\$ 1,091	\$ 12,000	\$0	\$ 10,909	\$ 1,091	\$ 12,000				7/27/22-6/30/23	
25	Local	Public	Monterey County Fish & Game	Habitat Improvement for the Monarch Butterfly 2022	Laura L Lienk	AES	AA/Science	\$ 3,843	\$ -	\$ 3,843	\$0	\$ 3,843	\$ -	\$ 3,843				7/1/22-4/30/23	
26	Other	Public	Big Sur Land Trust	Carr Lake Demonstration Garden 2022-2023 Weekend	Laura L Lienk	AES	AA/Science	\$ 13,911	\$ 2,087	\$ 15,998	\$0	\$ 13,911	\$ 2,087	\$15,998				8/24/22-6/30/23	
27	Other	Public	Shea Homes	Restoration at Hilltop Park, Marina	Laura L Lienk	AES	AA/Science	\$ 16,697	\$ 7,179	\$ 23,876	\$0	\$ 16,697	\$ 7,179	\$23,876				9/1/22-6/30/23	
28	State	Public	CA Coastal Commission	6th Grade Eco-Ambassadors	Laura L Lienk	AES	AA/Science	\$ 46,249	\$ 2,957	\$ 49,206	\$49,206							3/1/22-5/31/23	
29	State	Public	Regents of UC/Santa Cruz	UCSC Nature Detectives Spring 2022	Laura L Lienk	AES	AA/Science	\$ 4,500	\$ -	\$ 4,500	\$4,500							2/16/22-12/31/22	
30	State	Public	CA Volunteers-State of California	Califomia For All College Fellowships-Dreamers	Christine Eileen Hernandez	Service	AA/Univ College	\$ 596,423	\$ 93,577	\$ 690,000	\$0	\$ 596,423	\$ 93,577	\$ 690,000				5/4/22-5/1/24	
31	Federal	Public	Napa County Office of Ed - Corporation	AmeriCorps 2022-2023	Laura L Lienk	Service	AA/Univ College	\$ 180,038	\$ -	\$ 180,038	\$0	\$ 180,038	\$ -	\$ 180,038				8/1/22-7/31/23	
32	Other	Public	Variety of Partners	AmeriCorps VIP 22-23 Match account	Laura L Lienk	Service	AA/Univ College	\$ 126,000	\$ -	\$ 126,000	\$0	\$ 126,000	\$ -	\$126,000				8/1/22-7/31/23	
33	State	Public	City of Salinas - State of California	Creeks of Salinas Encampment Restoration and Clean Up	Laura L Lienk	Service	AA/Univ College	\$ 55,742	\$ 2,787	\$ 58,529	\$0	\$ 55,742	\$ 2,787	\$ 58,529				7/1/22-6/30/24	
34	Other	Public	Napa County Office of Education-	MATCH: AmeriCorps VIP 2021-22	Seth S Pollack	Service	AA/Univ College	\$ 115,605	\$ -	\$ 115,605	\$115,605							8/1/2021-7/31/22	
35	Federal	Public	Napa County Office of Education	AmeriCorps 2021-22	Seth S Pollack	Service	AA/Univ College	\$ 94,502	\$ -	\$ 94,502	\$94,502							8/1/2021-7/31/22	
36	Federal	Public	California Volunteers-US. Dept.	ARPA Californians for All College Fellowship	Christine Hernandez/Rhonda	Service	AA/University	\$ 776,538	\$ 198,462	\$ 975,000	\$975,000							2/23/22-8/31/23	
37	Federal	Public	U.S. Department of Education	Watsonville High School and Soledad High School Upward	Kim M Barber	EOSP	SA/Enrollment	\$ 340,853	\$ 25,579	\$ 366,432	\$0	\$ 340,853	\$ 25,579	\$ 366,432				9/1/22-8/31/23	
38	Federal	Public	U.S. Department of Education	North Monterey County and Pajaro Valley Upward Bound	Kim M Barber	EOSP	SA/Enrollment	\$ 277,295	\$ 20,306	\$ 297,601	\$0	\$ 277,295	\$ 20,306	\$ 297,601				9/1/22-8/31/23	
39	Federal	Public	U.S. Department of Education	CSUMB Monterey County Talent Search	Kim M Barber	EOSP	SA/Enrollment	\$ 598,925	\$ 47,914	\$ 646,839	\$0	\$ 598,925	\$ 47,914	\$ 646,839				9/1/22-8/31/23	
40	Local	Public	Monterey Peninsula Unified School	MPUSD Precollege Summer Program	Kim M Barber	EOSP	SA/Enrollment	\$ 9,091	\$ 909	\$ 10,000	\$0	\$ 9,091	\$ 909	\$ 10,000				6/1/22-7/30/22	
41	Federal	Public	US Dept. Education	GEAR-UP Seaside/Salinas - Year 7 Final Year	Kim M Barber	PreCollege	SA/Enrollment	\$ 763,209	\$ 61,057	\$ 824,266	\$824,266							9/25/20-9/24/22	
42	Federal	Public	US Dept. Education	GEAR-UP South County-Year 7 Final Year	Kim M Barber	PreCollege	SA/Enrollment	\$ 563,798	\$ 45,104	\$ 608,902	\$608,902							9/25/20-9/24/22	
43	Federal	Public	US Dept of Education	Upward Bound Watsonville & Soledad 21-22	Kim M Barber	PreCollege	SA/Enrollment	\$ 269,844	\$ 21,587	\$ 291,431	\$291,431							9/1/21-8/31/22	
44	Federal	Public	US Dept of Education	Upward Bound Pajaro Valley & North Monterey County 21-	Kim M Barber	PreCollege	SA/Enrollment	\$ 238,520	\$ 19,081	\$ 257,601	\$257,601							0/1/21-8/31/22	
45	Federal	Public	US Dept of Education	Educational Talent Search Year 5, 21-22	Kim M Barber	PreCollege	SA/Enrollment	\$ 598,925	\$ 47,914	\$ 646,839	\$646,839							9/1/21-8/31/22	
46	Federal	Public	US Dept of Education	Coastal GearUP Year 2	Kim M Barber	PreCollege	SA/Enrollment	\$ 2,330,176	\$ 185,854	\$ 2,516,030	\$2,516,030							9/30/20-9/29/22	
47	Federal	Public	U.S. Department of Education	Gear Up Salinas Valley Futures	Kim M Barber	PreCollege	SA/Enrollment	\$ 1,148,519	\$ 91,481	\$ 1,240,000	\$1,240,000							10/1/21-9/30/22	
Total Public Service								\$ 13,608,906	\$ 1,956,605	\$ 15,565,511	\$ 12,032,593	\$ 3,156,266	\$ 376,652	\$ 3,532,918					

RESEARCH & DEVELOPMENT

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY22	New Awards Direct FY23	New Awards Indirect FY23	Total New Awards FY23	Award Term				
1	Federal	R&D	National Endowment for the Humanities	Tektite Revisited Documentary	Meghan O'Hara	CAT	AA/AHSS	\$ 52,440	\$ 22,549	\$ 74,989	\$74,989							8/1/19-10/31/22
2	Federal	R&D	UC Regents/San Diego-CA Sea Grant	CSU COAST SSINP Sea-Level Rise Awards: CA Sea	Krista P Kamer	COAST	AA/GS&R	\$ 234,540	\$ 10,750	\$ 245,290	\$0	\$ 234,540	\$ 10,750	\$ 245,290				2/1/22-1/31/23
3	Federal	R&D	National Science Foundation	HSI Pilot Project: Inclusive and Integrative STEM	John Banks, Andrew Lawson	UROC/CoS	AA/GS&R-COS	\$ 331,227	\$ 68,763	\$ 399,990	\$399,990							10/1/21-9/30/23
4	Federal	R&D	Center for Disease Control &	My Choice: Developing a Workplace Disclosure Decision	Lisa M Stewart	Social Work	AA/HSHS	\$ 100,000	\$ 8,000	\$ 108,000	\$0	\$ 100,000	\$ 8,000	\$ 108,000				9/1/22-8/31/23
5	Federal	R&D	CA Institute of Technology/Jet	ECOSTRESS ET validation phase 2	Adam J Purdy	AES	AA/Science	\$ 26,623	\$ 5,325	\$ 31,948	\$0	\$ 26,623	\$ 5,325	\$ 31,948				11/1/22-7/31/23
6	Other	R&D	Virginia Polytechnic Institute and State	Fog harp and standard fog collector field intercomparisons	Daniel M Fernandez	AES	AA/Science	\$ 43,478	\$ 10,855	\$ 54,333	\$54,333							4/1/22-12/31/22
7	Federal	R&D	US Dept. Agriculture	Remote Sensing support for precision fumigation in	Forrest Melton	AES	AA/Science	\$ 27,273	\$ 2,727	\$ 30,000	\$30,000							8/1/17-7/31/22
8	Federal	R&D	US Dept. Agriculture/ARS	Site-specific soil pest management in strawberry and	Forrest Melton	AES	AA/Science	\$ 346,530	\$ 35,557	\$ 382,087	\$280,697	\$92,173	\$9,217	\$101,390				8/1/19-7/31/23
9	Federal	R&D	U.S. Department of Agriculture	Characterization and modeling of strawberry yield data	Forrest Melton	AES	AA/Science	\$ 239,141	\$ 23,914	\$ 263,055	\$0	\$ 239,141	\$ 23,914	\$ 263,055				8/1/22-10/31/24
10	Federal	R&D	Desert Research Institute-U.S.	OpenET: Open Evapotranspiration Data Production	Forrest Melton	AES	AA/Science	\$ 121,760	\$ 24,352	\$ 146,112	\$0	\$ 121,760	\$ 24,352	\$ 146,112				10/1/22-4/30/24
11	Federal	R&D	US Fish & Wildlife Service	Evaluation of Monterey Gilla Management, Current Extent,	Fred Watson	AES	AA/Science	\$ 16,771	\$ 2,935	\$ 19,706	\$19,706							9/1/19-12/31/24
12	Federal	R&D	Regents of UC/UCOP-US Geological	Assessing Wildlife use of 3 types of agricultural ditches:	Jennifer Duggan	AES	AA/Science	\$ 24,960	\$ -	\$ 24,960	\$14,969	\$ 9,991	\$ -	\$ 9,991				9/1/21-8/31/23
13	State	R&D	Resource Conservation District of	Salinas River Arundo Eradication Project Phase IV	Jennifer Duggan, John Robert	AES	AA/Science	\$ 96,798	\$ 19,360	\$ 116,158	\$116,158							7/2/2019-3/30/23

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FY22-23 ACTIVITY TYPE 2nd Quarter 7/1/22-12/31/22**

14	Federal	R&D	Utah State Univ-US Army Corps of	A data driven decision support system to identify optimal	John Robert Olson	AES	AA/Science	\$	124,965	\$	53,734	\$	178,699	\$122,127		\$39,561	\$17,011	\$56,572	7/13/18-6/13/23		
15	Federal	R&D	So CA Coastal Water Research Project	Streamflow Duration Assessment Method (SDAM) Developm	John Robert Olson	AES	AA/Science	\$	90,747	\$	39,021	\$	129,768	\$129,768					8/1/21-3/31/23		
16	Federal	R&D	Pyramid Lake Paiute Tribe	Wetland Index of Biological Integrity Development	John Robert Olson	AES	AA/Science	\$	19,231	\$	8,268	\$	27,499	\$27,499					4/1/22-4/1/24		
17	Other	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 20-21	John Robert Olson	AES	AA/Science	\$	37,017	\$	5,552	\$	42,569	\$42,569					7/1/20-1/31/23		
18	Other	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 20-22	John Robert Olson	AES	AA/Science	\$	13,187	\$	1,978	\$	15,165	\$15,165					7/1/20-1/31/23		
19	Other	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 20-23	John Robert Olson	AES	AA/Science	\$	13,627	\$	2,044	\$	15,671	\$15,671					7/1/20-1/31/23		
20	Other	R&D	California Trout	Predicting fish assemblages in California estuaries	John Robert Olson	AES	AA/Science	\$	18,182	\$	1,818	\$	20,000	\$20,000					12/23/20-1/31/23		
21	Other	R&D	Carmel River Watershed Conservancy	CRWC 2022 Watershed Report Card Update	John Robert Olson	AES	AA/Science	\$	14,660	\$	1,466	\$	16,126	\$16,126					3/1/22-12/31/22		
22	Other	R&D	Central Coast Water Quality	Community Monitoring Program Annual Report	John Robert Olson	AES	AA/Science	\$	18,268	\$	7,855	\$	26,123	\$0	\$	18,268	\$	7,855	\$26,123	2/1/22-12/31/22	
23	State	R&D	Southern CA Coastal Water Research	Evaluation and Development of Ephemeral Stream	John Robert Olson	AES	AA/Science	\$	75,437	\$	22,631	\$	98,068	\$98,068					2/1/21-1/31/23		
24	State	R&D	Southern CA Coastal Water Research	Evaluation and Development of Ephemeral Stream	John Robert Olson	AES	AA/Science	\$	62,479	\$	18,744	\$	81,223	\$81,223					2/1/21-1/31/23		
25	State	R&D	Southern CA Coastal Water Research	Evaluation and Development of Ephemeral Stream	John Robert Olson	AES	AA/Science	\$	89,450	\$	26,835	\$	116,285	\$116,285					2/1/21-1/31/23		
26	State	R&D	Resource Conservation District of	RCSMC 2022 Vegetation Mapping	John Robert Olson	AES	AA/Science	\$	20,833	\$	4,167	\$	25,000	\$0	\$	20,833	\$	4,167	\$	25,000	6/30/22-12/31/22
27	Other	R&D	AECOM - CalAm Water	5-Year Carmel Monitoring	John Robert Olson, Doug	AES	AA/Science	\$	37,203	\$	15,997	\$	53,200	\$53,200					5/14/18-5/13/23		
28	Federal	R&D	CA Dept. Food & Ag	Evapotranspiration monitoring Salinas Valley specialty	Lee Johnson, Forrest Melton	AES	AA/Science	\$	427,635	\$	18,294	\$	445,929	\$445,929					11/1/21-4/30/24		
29	Federal	R&D	CA Dept. Food & Ag - US Dept. Ag	Extension of CropManage Decision-Support System to	Lee Johnson/Forrest Melton	AES	AA/Science	\$	371,320	\$	14,218	\$	385,538	\$385,538					11/1/20-4/30/23		
30	Federal	R&D	Bay Area Environmental Research-	ARC-CREST Science Mission Operations by NSERC and	Susan Alexander	AES	AA/Science	\$	18,444,738	\$	4,263,459	\$	22,708,197	\$21,415,932	\$	1,049,532	\$	242,733	\$	1,292,265	3/11/12-2/28/23
31	Federal	R&D	National Science Foundation	MRI: Acquisition of a Benchtop NMR Instrument with	Corin D Slown, Amy Marie	Bio & Chem	AA/Science	\$	145,200	\$	-	\$	145,200	\$145,200					8/1/2021-7/30/24		
32	Federal	R&D	Regents of UC/Santa Cruz - USDA	Integrated systems for management of soil borne diseases	Arlene L Haffa	Bio & Chem	AA/Science	\$	224,443	\$	47,378	\$	271,821	\$251,657	\$	15,727	\$	4,437	\$	20,164	8/15/17-8/14/23
33	State	R&D	CSU ARI - CSU Chancellor Office	ARI Campus: Optimizing Carbon Input Source as Part of	Arlene L Haffa	Bio & Chem	AA/Science	\$	134,017	\$	-	\$	134,017	\$134,017					7/1/19-6/30/23		
34	State	R&D	Regents of UC/UCANR - CA Dept. of	Integrated Sustainable Nitrogen Management in Vegetable	Arlene L Haffa	Bio & Chem	AA/Science	\$	82,069	\$	20,516	\$	102,585	\$102,585					9/1/20-3/31/23		
35	State	R&D	CA Leafy Green Research Board/CA	Nitrogen Management Strategy	Arlene L Haffa	Bio & Chem	AA/Science	\$	17,810	\$	-	\$	17,810	\$17,810					4/1/21-9/30/22		
36	State	R&D	CSU ARI-CSU Chancellor's Office	ARI-S Quantifying & Modeling soil health nutrient	Arlene L Haffa	Bio & Chem	AA/Science	\$	112,505	\$	-	\$	112,505	\$0	\$	112,505	\$	-	\$	112,505	7/1/22-6/30/23
37	State	R&D	CSU ARI-CSU Chancellor's Office	ARI-Campus: Impact of arbuscular mycorrhizal fungi	Arlene L Haffa, Meagan Hynes	Bio & Chem	AA/Science	\$	108,844	\$	-	\$	108,844	\$108,844					7/1/20-6/30/23		
38	State	R&D	CA Leafy Greens Research Board-CA	Carbon Nanoparticle Addition to Fertilizers as a Strategy to	Arun D Jani	Bio & Chem	AA/Science	\$	7,890	\$	-	\$	7,890	\$7,890					4/1/22-3/31/23		
39	State	R&D	CSU ARI-CSU Chancellor's Office	Carbon Nanoparticle Application in Arugula Production as a	Arun D Jani	Bio & Chem	AA/Science	\$	53,119	\$	-	\$	53,119	\$0	\$	53,119	\$	-	\$	53,119	7/1/22-6/30/23
40	State	R&D	Vilmorin North America-CA Dept.	Exploring Baby Leaf Gemplasm for Disease Resistance,	Arun D Jani	Bio & Chem	AA/Science	\$	93,642	\$	5,471	\$	99,113	\$0	\$	93,642	\$	5,471	\$	99,113	10/1/23-12/31/25
41	Other	R&D	American Chemical Society	Investigating Inhibitor Selectivity and Affinity to Prevent	Arunkumar Sharma	Bio & Chem	AA/Science	\$	30,000	\$	-	\$	30,000	\$0	\$	30,000	\$	-	\$	\$30,000	9/1/22-8/31/24
42	Federal	R&D	National Science Foundation	RUI: Molecular Design for Redox Active Deep Eutectic	John Goeltz	Bio & Chem	AA/Science	\$	180,200	\$	64,800	\$	245,000	\$245,000					9/1/18-8/31/22		
43	Federal	R&D	National Institute of Food and	FUTURE-Ag: Fostering Undergraduate student Training,	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	155,606	\$	66,910	\$	222,516	\$222,516					8/1/2021-7/31/23		
44	Federal	R&D	Agricultural Research Services	Geographic Patterns in Cropland Use in Strawberry	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	18,177	\$	1,818	\$	19,995	\$19,995					6/1/2021-5/30/23		
45	Federal	R&D	University of Minnesota - NSF	Agricultural Microbiome Research Network Yr. 1-3	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	80,418	\$	34,580	\$	114,998	\$114,998					8/1/2019-7/31/23		
46	State	R&D	UC Ag Natural Resources-CA Garlic &	Evaluation of anaerobic soil dis infestation and pathogen	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	13,662	\$	-	\$	13,662	\$13,662					3/1/20-12/31/22		
47	State	R&D	CSU ARI-CSU Chancellor's Office	ARI C- Optimizing management of soilborne pathogens of	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	49,374	\$	-	\$	49,374	\$49,374					6/21/20-12/31/22		
48	State	R&D	CA Dept. Food & Agriculture	Investigating the diversity and distribution of soilborne	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	30,000	\$	9,000	\$	39,000	\$39,000					9/1/21-8/31/22		
49	State	R&D	CA Dept. Food & Ag-US Dept.	USDA Lettuce Breeding for Improved Resistance to INSV,	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	11,599	\$	-	\$	11,599	\$11,599					4/1/22-3/31/23		
50	State	R&D	CA Leafy Greens Research Board-CA	Assessing the effects of temperature and crop rotation as	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	56,438	\$	-	\$	56,438	\$56,438					4/1/22-3/31/23		
51	State	R&D	Regents of UC/Davis-California Leafy	Screening of romaine and head lettuce varieties for tolerance	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$	4,996	\$	-	\$	4,996	\$0	\$	4,996	\$	-	\$	4,996	8/1/22-3/31/23
52	State	R&D	System ARI-CSU CO ARI	System: The Genomics of Remediating Microbes Relevant	Nathaniel Jue/Arlene L Haffa	Bio & Chem	AA/Science	\$	296,090	\$	-	\$	296,090	\$296,090					7/1/18-6/30/23		
53	Federal	R&D	National Science Foundation	SG/RUI: The evolution of extreme phenotypic convergence	Susan Elizabeth Alter	Bio & Chem	AA/Science	\$	35,613	\$	8,434	\$	44,047	\$44,047					10/1/20-5/1/23		
54	Federal	R&D	New York Harbor Foundation, Inc-	Curriculum & Community Enterprise for Restoration of a	Susan Elizabeth Alter	Bio & Chem	AA/Science	\$	8,392	\$	3,608	\$	12,000	\$12,000					6/21/21-12/31/22		
55	Federal	R&D	National Science Foundation	CAREER: Genomic divergence during speciation in a hyper-	Susan Elizabeth Alter	Bio & Chem	AA/Science	\$	541,856	\$	162,220	\$	704,076	\$704,076					9/1/22-8/31/27		
56	Federal	R&D	Pace University-National Science	Modeling the Human Harbor; Applying Computational	Susan Elizabeth Alter	Bio & Chem	AA/Science	\$	6,993	\$	3,007	\$	10,000	\$10,000					4/28/2021-8/31/22		
57	Federal	R&D	National Institutes of Health	GREAT Opportunities in Genome Science	Nathaniel K Jue, Shahidul	Bio &	AA/Science	\$	246,537	\$	18,496	\$	265,033	\$0	\$	246,537	\$	18,496	\$	265,033	8/26/22-6/30/23
58	State	R&D	Agricultural Research	ARI C- Comparing Greenhouse Gases and Volatile Organic	Arlene L Haffa	Biology &	AA/Science	\$	71,931	\$	-	\$	71,931	\$36,153	\$	35,778	\$	-	\$	35,778	7/1/2021-6/30/23
59	Federal	R&D	National Science Foundation	Collaborative Research: Decomposing the effects of	Alison J Haupt	Marine	AA/Science	\$	106,851	\$	45,946	\$	152,797	\$152,797					10/1/18-9/30/23		
60	Federal	R&D	UC Regents/San Diego - NOAA	Effects of urchin reproduction, recruitment, and herbivory	Alison J Haupt	Marine	AA/Science	\$	261,823	\$	104,778	\$	366,601	\$356,601	\$	6,993	\$	3,007	\$	10,000	10/8/20-5/30/23
61	Federal	R&D	Stanford University-National Science	Diversifying and Integrating Marine Science (DIMS)	Alison J Haupt	Marine	AA/Science	\$	1,636	\$	704	\$	2,340	\$2,340					8/1/20-7/31/22		
62	State	R&D	CA Sea Grant/Regents of UC-CA Ocean	Assessing the potential for rapid adaptation to climate	Cheryl A Logan	Marine	AA/Science	\$	482,490	\$	74,581	\$	557,071	\$557,071					4/1/22-11/30/24		
63	Federal	R&D	Florida A&M University - NOAA	Center for Coastal & Marine Ecosystems Yr 5	Corey Garza	Marine	AA/Science	\$	272,962	\$	12,610	\$	285,572	\$285,572					9/1/20-8/31/22		
64	Federal	R&D	Florida A&M University - NOAA	Ecological Significance of Living Shorelines in Selected	Corey Garza	Marine	AA/Science	\$	10,000	\$	-	\$	10,000	\$10,000					9/19/20-8/31/23		

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65	Federal	R&D	Florida A&M University-NOAA/US	Augmentation to Center for Coastal & Marine Ecosystems	Corey Garza	Marine	AA/Science	\$ 91,962	\$ 3,486	\$ 95,448	\$95,448					9/1/20-8/31/22	
66	Federal	R&D	National Science Foundation	NSF Ocean Science Diversity Initiative	Corey Garza	Marine	AA/Science	\$ 244,852	\$ 55,148	\$ 300,000	\$300,000					7/1/21-6/30/23	
67	Federal	R&D	Florida A&M University-NOAA/US	NOAA Cooperative Science Center for Coastal & Marine	Corey Garza	Marine	AA/Science	\$ 412,913	\$ 32,301	\$ 445,214	\$445,214					9/1/21-8/31/22	
68	Federal	R&D	Florida A&M University-NOAA/US	Renewal:NOAA Center for Coastal & Marine Ecosystems	Corey Garza	Marine	AA/Science	\$ 264,291	\$ 21,980	\$ 286,271	\$0	\$ 264,291	\$ 21,980	\$ 286,271		9/1/22-8/31/23	
69	Federal	R&D	NOAA	Image-based Approaches to Surveying Corals at Mid-Depth	James Lindholm	Marine	AA/Science	\$ 27,553	\$ 11,848	\$ 39,401	\$39,401					8/1/20-12/31/22	
70	State	R&D	San Jose State University Fdn-CA	Long-term Monitoring of Mid-Depth Rocky Reef	James Lindholm	Marine	AA/Science	\$ 308,000	\$ 77,000	\$ 385,000	\$308,986	\$60,811	\$15,203	\$76,014		5/25/19-4/30/23	
71	Federal	R&D	US Geological Society	Mapping intertidal biofilm community, quantity, and	Sherry L. Palacios	Marine	AA/Science	\$ 28,437	\$ 12,228	\$ 40,665	\$40,665					2/23/21-12/31/22	
72	Federal	R&D	National Science Foundation	MASDER: Motivational Attitudes in Statistics and Data	Alana Unfried	Math &	AA/Science	\$ 463,820	\$ 136,178	\$ 599,998	\$599,998					10/1/20-9/30/23	
73	State	R&D	California Public Utilities Commission	CPUC Broadband Testing	Sathya R Narayanan, Young-	School of	AA/Science	\$ 2,072,740	\$ 550,285	\$ 2,623,025	\$2,623,025					7/16/2021-7/15/24	
74	State	R&D	CSU ARI-CSU Chancellor's Office	Evaluation of Evapotranspiration Monitoring Approaches	Forrest Melton, Lee Johnson	AES	AA/Science	\$ 334,813	\$ -	\$ 334,813	\$334,813					12/1/21-11/30/24	
75	Federal	R&D	National Science Foundation	Collaborative Research: Squirreling Around for Science:	Jennifer Duggan	AES	AA/Science	\$ 104,521	\$ 44,942	\$ 149,463	\$149,463					7/16/20-7/31/23	
76	Federal	R&D	UC Regents/Santa Cruz - NIH	Institutional Research & Academic Career Development	Apama Sreenivasan	Bio & Chem	AA/Science	\$ 139,018	\$ 11,122	\$ 150,140	\$94,577	\$ 51,447	\$ 4,116	\$ 55,563		8/14/20-7/31/22	
77	Federal	R&D	National Science Foundation	ASPIRE-Active Societal Participation in Research and	Corey Garza	Marine	AA/Science	\$ 190,579	\$ 15,435	\$ 206,014	\$206,014					9/15/16-8/31/22	
78	Federal	R&D	National Science Foundation	REU Renewal from the Intertidal to the Deep Ocean:	Corey Garza	Marine	AA/Science	\$ 759,715	\$ 65,452	\$ 825,167	\$825,167					7/15/20-6/30/24	
79	Federal	R&D	National Institutes of Health	Science teaching through the arts: Bringing state-of-the-art	Corin Slown, Enid Ryce	Bio &	AA/Science &	\$ 749,325	\$ 49,188	\$ 798,513	\$535,361	\$ 247,103	\$ 16,049	\$ 263,152		7/16/20-5/31/23	
80	Federal	R&D	UEI Sacramento -National Science	NSF LSAMP 2018-2023 Yr 4	John Banks	UROC	AA/GS&R	\$ 15,000	\$ -	\$ 15,000	\$15,000					9/1/21-8/31/22	
81	Federal	R&D	National Science Foundation	Geo-Bridge Program	Corey Garza	Marine	AA/Science	\$ 365,726	\$ 25,157	\$ 390,883	\$390,883					9/1/20-8/31/23	
82	Federal	R&D	National Science Foundation	Geo Futures (SACNAS)	Corey Garza	Marine	AA/Science	\$ 77,204	\$ 22,405	\$ 99,609	\$99,609					8/1/20-7/31/22	
83	Federal	R&D	CSU Channel Islands-National Science	CA Coast Noyce Scholars Program-Phase II	Joanne Lieberman/Hongde Hu	Math &	AA/Science	\$ 367,563	\$ 42,674	\$ 410,237	\$410,237					6/1/17-5/31/23	
84	Federal	R&D	National Science Foundation	Replication of cohort-based computer science bachelor's	Sathya Narayanan	SCD	AA/Science	\$ 4,822,009	\$ 176,971	\$ 4,998,980	\$4,998,980					10/1/18-9/30/23	
85	Federal	R&D	National Science Foundation	Robert Noyce Collaborative Track 1:Rural Integrated STEM	Corin Slown/Dennis	Bio &	AA/Science &	\$ 626,310	\$ 113,433	\$ 739,743	\$739,743					3/1/21-2/28/24	
								Total Research & Developm	\$ 37,960,054	\$ 6,893,258	\$ 44,853,312	\$ 41,235,858	\$ 3,175,371	\$ 442,083	\$ 3,617,454		

STUDENT SCHOLARSHIPS

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY22	New Awards Direct FY23	New Awards Indirect FY23	Total New Awards FY23	Award Term		
1	Federal	Student	CA Sea Grant - NOAA	COAST Scholars in Training 20-21	Krista P Kamer	COAST	AA/GS&R	\$ 6,000	\$ -	\$ 6,000	\$6,000			10/1/20-1/31/22		
2	Federal	Student	US Dept. Commerce/NOAA/NMFS	CSU COAST Summer Internships-NOAA NMFS	Krista P Kamer	COAST	AA/GS&R	\$ 20,000	\$ -	\$ 20,000	\$17,000	\$3,000	\$3,000	5/1/19-9/30/22		
3	Federal	Student	National Marine Sanctuary Fdn-NOAA	CSU COAST Summer Internships 2021-2026	Krista P Kamer	COAST	AA/GS&R	\$ 12,000	\$ -	\$ 12,000	\$12,000			5/1/21-4/30/26		
4	Federal	Student	U.S. Department of Health and Human	HRSA BHWET Program for Social Work Professionals	Maria A Gurrola	Social Work	AA/HSBS	\$ 905,599	\$ 27,648	\$ 933,247	\$466,595	\$ 452,826	\$ 13,826	\$ 466,652	7/1/2021-6/30/23	
5	Federal	Student	UC Regents/Berkeley-US Dept. HHS	CalSWEC Title IV E Scholarships	Maria A Gurrola	Social Work	AA/HSBS	\$ 306,671	\$ 20,355	\$ 327,026	\$0	\$ 306,671	\$ 20,355	\$ 327,026	7/1/22-6/30/23	
6	Federal	Student	US Dept. Health & Human Services	Scholarships for Disadvantaged Students-Yr 7	Maria Gurrola	Social Work	AA/HSBS	\$ 637,024	\$ -	\$ 637,024	\$637,024			7/1/22-6/30/23		
7	Federal	Student	Regents of UC/Santa Cruz-NOAA	CSU COAST Summer Internship 2020-2024: NOAA	Krista P Kamer	COAST	AA/GS&R	\$ 3,000	\$ -	\$ 3,000	\$3,000			6/1/22-5/31/23		
8	Other	Student	Southwest Wetlands Interpretive	CSU COAST-Tijuana River NERR Summer Internships	Krista P Kamer	COAST	AA/GS&R	\$ 14,000	\$ -	\$ 14,000	\$14,000			5/14/18-5/31/23		
9	Other	Student	CA Ocean Science Trust	CSU COAST Summer Internship-CAL OST	Krista P Kamer	COAST	AA/GS&R	\$ 14,000	\$ -	\$ 14,000	\$14,000			6/1/18-5/31/23		
10	Other	Student	Smithsonian Environmental Research	CSU COAST Summer Internship 2020-2025 SERC	Krista P Kamer	COAST	AA/GS&R	\$ 3,000	\$ -	\$ 3,000	\$3,000			6/1/21-8/31/22		
11	Other	Student	San Francisco Bay Conservation and	CSU COAST Summer Internships 2022-26 San Francisco	Krista P Kamer	COAST	AA/GS&R	\$ 3,000	\$ -	\$ 3,000	\$3,000			6/1/22-5/31/23		
12	State	Student	CA Dept. Fish & Wildlife	COAST Internships, CDFW	Krista P Kamer	COAST	AA/GS&R	\$ 31,000	\$ -	\$ 31,000	\$31,000			7/1/21-6/30/23		
13	State	Student	COAST/CSU Chancellor's Office	CSU COAST SSINP-Sea Level Rise Awards: COAST	Krista P Kamer	COAST	AA/GS&R	\$ 794,876	\$ -	\$ 794,876	\$794,876			5/1/21-4/30/25		
14	State	Student	CA State Lands Commission	CSU COAST Summer Internships 2022-24 State Lands	Krista P Kamer	COAST	AA/GS&R	\$ 6,000	\$ -	\$ 6,000	\$6,000			6/1/22-5/31/25		
15	Federal	Student	CA Sea Grant - NOAA	California Sea Grant Omnibus (2018-2022) Bridge Funds	Corey Garza	Marine	AA/Science	\$ 20,000	\$ -	\$ 20,000	\$20,000			4/1/22-10/1/22		
16	Other	Student	Google LLC	Computing Talent Initiative	Sathya Narayanan	SCD	AA/Science	\$ 100,000	\$ -	\$ 100,000	\$100,000			12/15/19-12/14/23		
							Total Student Scholarships	\$ 2,876,170	\$ 48,003	\$ 2,924,173	\$ 2,127,495	\$ 762,497	\$ 34,181	\$ 796,678		

GRAND TOTAL \$ 68,963,944 \$ 9,473,565 \$ 78,437,509 \$ 68,921,571 \$ 8,611,686 \$ 904,252 \$ 9,515,938

**University Corporation at Monterey Bay
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FY22-23 Pending Proposals - 2nd Quarter 7/1/22-12/31/22**

FY21												
Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total			
1	Federal	8/28/20	R&D	Cal Poly Pomona Foundation, Inc.-National Scier	REU Site: MATerials and applications: an Undergraduate Research Experience (MATURE)	Christine E Valdez	Psychology	\$ 11,519	\$ 4,953	\$ 16,472		
2	Federal	8/31/20	R&D	Regents of UC/Santa Cruz-National Science Foun	Subterranean Sea Level Rise: Fostering Resilience and Broadening Participation in California's Cen	Robina Bhatti	SBS	\$ 230,886	\$ 99,281	\$ 330,167		
3	State	9/17/20	R&D	Resource Conservation District of Monterey Coun	Salinas River Arundo Eradication Project Phase V	Jennifer M Duggan/John Olson	AES	\$ 96,589	\$ 19,318	\$ 115,907		
4	Federal	10/23/20	R&D	San Jose State University Research Foundation-U	Hamessing the Soil Microbiome to Improve Crop Nutritional Content	Nathaniel K Jue	BIO & Chem	\$ 154,341	\$ 7,601	\$ 161,942		
5	Federal	11/19/20	R&D	Monterey Bay Aquarium Research Institute-NOAA	(CeNCOOS) The Central and Northern California Ocean Observing System: Information solutions t	Corey Garza	Marine Science	\$ 102,448	\$ 22,552	\$ 125,000		
6	Federal	3/19/21	R&D	University of Southern California-NOAA/U.S. De	Quantifying impacts of urban stressors on marine biodiversity and evolution using genome-wide dat	Susan Elizabeth Alter	Bio & Chem	\$ 28,833	\$ 12,398	\$ 41,231		
7	Federal	3/19/21	R&D	Florida A&M University-NOAA/U.S. Department	NOAA Center for Coastal and Marine Ecosystems ((Restoration of Year 5 Funds))	David C Garza	Marine Science	\$ 81,926	\$ 7,108	\$ 89,034		
8	Federal	5/7/21	Public Serv	CA Governor's Office of Emergency Services-FEM	Seismic Retrofit of Monterey County Peninsula Regional Emergency Coordination Center	Ken Folsom	Police Dept	\$ 1,312,500	\$ 87,500	\$ 1,400,000		
9	Federal	5/7/21	Public Serv	CA Governor's Office of Emergency Services-FEM	CSUMB Wildfire Fuel Reduction Project	Ken Folsom	Police Dept	\$ 225,000	\$ 15,000	\$ 240,000		
10	Local	5/21/21	Public Serv	Monterey Peninsula Unified School District	Eco-Ambassadors Beach Field Trips for 4th grade classes	Laura L Lienk	AES	\$ 16,367	\$ 1,637	\$ 18,004		
11	Federal	6/10/21	R&D	University of Colorado Boulder-National Science	NSF Convergence Accelerator (Track E): Combining the power of citizen science and artificial intell	David C Garza	Marine Science	\$ 182,629	\$ 61,331	\$ 243,960		
FY20-21 Pending Proposals							\$	2,443,038	\$	338,679	\$	2,781,717

FY22												
Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total			
12	Federal	7/14/21	R&D	Univ Southern CA-National Oceanic & Atmosphe	Quantifying impacts of urban stressors on marine biodiversity and evolution using genome-wide dat	Susan Elizabeth Alter	Biology & Che	\$ 28,833	\$ 12,398	\$ 41,231		
13	State	7/21/21	Public Serv	CSU Fresno-California Energy Commission	BlueTech Valley Energy Innovation Cluster	John B Barbeau/Daniel Ripke	School of Busi	\$ 153,847	\$ 46,154	\$ 200,001		
14	State	8/2/21	Public Serv	Central Coast Center for Indep. Living-California	Continuation: The Homeless Engagement and Response Team (HEART) Program	Maria A Gurrola/Renie Rondon J	Social Work	\$ 89,535	\$ 17,907	\$ 107,442		
15	Federal	8/4/21	Public Serv	City of Salinas-Central Coast Center for Indep. Li	Continuation: Case Management Services - Rapid Rehousing	Maria A Gurrola	Social Work	\$ 1,965,557	\$ 354,249	\$ 2,319,806		
16	Federal	8/8/21	R&D	CA Dept. Food & Ag-U.S. Department of Agricu	Organics Recycling to Transform Soils (ORTS): Increasing competitiveness of annual vegetables th	Arlene L Haffa	Biology & Che	\$ 964,397	\$ 35,152	\$ 999,549		
17	State	10/7/21	Public Serv	Outdoor Equity Program-CA Dept. Parks & Recre	iComunidad de Salinas juntos por una naturaleza saludable y equitativa! [Community of Salinas To	Laura L Lienk	AES	\$ 613,540	\$ -	\$ 613,540		
18	State	11/12/21	R&D	CSU Agriculture Research Institute (ARI)	ARI System: Quantifying and modeling soil health focused nutrient management in vegetable crops	Arlene L Haffa/Jose Pablo Dundo	Biology & Che	\$ 3,000	\$ -	\$ 3,000		
19	Federal	12/1/21	Institutiona	National Telecommunications & Information Age	From Bullets to Bytes to Broadband: Creating a 21st Century Campus at California State Universit	Chip Lenno	CIO	\$ 2,254,950	\$ 738,790	\$ 2,993,740		
20	State	12/13/21	R&D	County of Monterey/Health Dept-CA Dep. of Hea	MCCares Project: Developing an app based decision aid to navigate care choices and services	Lisa M Stewart	Social Work	\$ 188,896	\$ 56,669	\$ 245,565		
21	Federal	1/25/22	R&D	National Science Foundation	Latinx PASADA: Pathways to STEM Advanced Degree Attainment	John Banks	UROC	\$ 382,317	\$ 148,272	\$ 530,589		
22	Federal	2/4/22	R&D	Regents of UC/Santa Barbara-National Science Fo	NSF Scholarships in Science, Technology, Engineering, and Mathematics: PALIISADS: Pacific Al	Judith E Canner	Math & Stats	\$ 337,119	\$ 28,861	\$ 365,980		
23	Federal	2/8/22	R&D	National Science Foundation	RE-ROUTING: Marine microbiology scientific cruise R/V Sally Ride	Krista P Kamer, Sherry L Palacio	COAST	\$ 91,192	\$ 19,175	\$ 110,367		
24	Federal	2/16/22	R&D	United States Army/U.S. Department of Defense	Environmental Laboratory Studies Support for Fort Hunter Liggett, Monterey County, California	John Robert Olson	AES	\$ 270,871	\$ 116,474	\$ 387,345		
25	Other	3/3/22	Scholarship	Marine Applied Research and Exploration	CSU COAST Summer Internships 2022-26 Marine Applied Research and Exploration	Krista P Kamer	COAST	\$ 38,000	\$ -	\$ 38,000		
26	Other	3/3/22	Scholarship	Tijuana River National Estuarine Research Reserv	Continuation: CSU COAST Summer Internship: TR NERR	Krista P Kamer	COAST	\$ 1,500	\$ -	\$ 1,500		
27	State	3/3/22	Scholarship	California Ocean Science Trust	CSU COAST Summer Internship-CAL OST	Krista P Kamer	COAST	\$ 1,500	\$ -	\$ 1,500		
28	State	3/4/22	Scholarship	San Francisco Bay Conservation and Developmen	CSU COAST Summer Internships 2022-26 San Francisco Bay Conservation and Development Con	Krista P Kamer	COAST	\$ 19,000	\$ -	\$ 19,000		
29	Federal	3/4/22	R&D	National Science Foundation	Collaborative Research: Variable Resistance of US West Coast Rocky Intertidal Communities	David C Garza	Marine Science	\$ 205,456	\$ 82,755	\$ 288,211		
30	State	3/24/22	R&D	Regents of UC/UCOP-CA Institute for Water Res	Assessing wildlife use of 3 types of agricultural ditches: data for co-management of water quality an	Jennifer M Duggan	AES	\$ 9,991	\$ -	\$ 9,991		
31	Federal	4/10/22	Public Serv	Bureau of Land Management/U.S. Department of	Return of the Natives and Grad Assistants Help Restore Ft Ord Nat Monument	Laura L Lienk	AES	\$ 425,387	\$ 74,443	\$ 499,830		
32	Federal	4/21/22	Other	National Endowment for the Humanities	Branding Sacrificial Motherhood in Digital Media in the 21st South Korea	Hojin Song	HCOM	\$ 55,000	\$ -	\$ 55,000		
33	State	4/15/22	Public Serv	County of Monterey/Health Department/Behaviore	No Zip Code Left Behind: Addressing Inequities through Collaborative Partnerships - Cohort #3	Kim M Judson	HHSP	\$ 534,056	\$ 53,406	\$ 587,462		
34	State	4/25/22	R&D	University Enterprises, Inc. (SacState)-CSU Chan	International Research Experience (Costa Rica): CSU-LSAMP STEM Pathways & Research Allianc	John E Banks	UROC	\$ 92,830	\$ -	\$ 92,830		
35	Federal	5/25/22	R&D	United States Army/U.S. Department of Defense	Serra Camp / Cemetery Initiative - Fort Hunter Liggett	Ruben G Mendoza	SBS&GS	\$ 87,003	\$ 37,412	\$ 124,415		
36	Local	5/25/22	Public Serv	Monterey Peninsula Regional Park District	Restoration at Marina Dunes Preserve 2022-2023	Laura L Lienk	AES	\$ 2,778	\$ 223	\$ 3,001		
37	Federal	6/10/22	R&D	U.S. Department of Agriculture	Developing capacity to quantify climate-smart practices in cool season specialty crops	Arlene L Haffa	Bio & Chem	\$ 4,382,893	\$ 617,098	\$ 4,999,991		
38	Local	6/17/22	Public Serv	Monterey Peninsula Regional Park District	Augmentation to Restoration at Marina Dunes Preserve 2022-2023 (PD 1157)	Laura L Lienk	AES	\$ 2,778	\$ 223	\$ 3,001		
FY21-22 Pending Proposals							\$	13,202,226	\$	2,439,661	\$	15,641,887

**University Corporation at Monterey Bay
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FY23										
Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total	
39	Federal	7/19/22	Public Serv Community Homeless Solutions-U.S. Department of Housing and Urban Development	Case Management and Housing Navigation Partnership	Maria A Gurrola, Kai Medina-Ma	Social Work	\$ 247,308	\$ 49,462	\$ 296,770	
40	State	7/19/22	Public Serv Everyone's Harvest-Central CA Coast Alliance for Expanding Everyone's Harvest	Expanding Everyone's Harvest Fresh Rx	Vanessa A Lopez-Littleton	Health, Human	\$ 8,696	\$ 1,304	\$ 10,000	
41	Federal	7/20/22	Public Serv Bureau of Land Management/U.S. Department of the Interior	Augmentation to PD 1126; Return of the Natives and Grad Assistants Help Restore Ft Ord Nat Mon	Laura L Lienk	Applied Enviro	\$ 115,285	\$ 20,175	\$ 135,460	
42	Federal	7/25/22	Public Serv California Volunteers-U.S. Department of the Treasury	CARES Act: Californians for All College Fellowship - Cohort 2 - Non-Dreamers	Christine Eileen Hernandez	Service Learnin	\$ 566,748	\$ 108,252	\$ 675,000	
43	Federal	7/28/22	R&D California Sea Grant/The Regents-National Oceanic and Atmospheric Administration	Status of Pismo Clams in Monterey Bay	Alison J Haupt	Marine Science	\$ 65,059	\$ 9,915	\$ 74,974	
44	State	7/29/22	R&D California Ocean Protection Council	Will Habitat Distribution Increase Resilience to Climate Change in Central California Marine Protec	James B Lindholm	Marine Science	\$ 316,901	\$ 46,785	\$ 363,686	
45	Federal	8/3/22	R&D Pace University-National Science Foundation	Modeling the Human Harbor; Applying Computational Thinking and Computer Science Core Practi	Susan Elizabeth Alter	Biology & Che	\$ 18,214	\$ 5,786	\$ 24,000	
46	Federal	8/25/22	R&D National Science Foundation	SACNAS GeoFutures Program 22-23	David C Garza	Marine Science	\$ 89,578	\$ 10,418	\$ 99,996	
47	Federal	8/26/22	R&D Regents of UC/Santa Cruz-California Ocean Prote	Impact of sea level rise on intertidal communities: assessment to inform resilience-based managemen	David C Garza	Marine Science	\$ 188,799	\$ 47,200	\$ 235,999	
48	State	8/30/22	Academic S California Office of Statewide Health Planning &	Song-Brown Primary Care Physician Assistant Training Program Grant	Christopher P Forest	Physician Assis	\$ 168,000	\$ -	\$ 168,000	
49	Federal	9/1/22	R&D Public Policy Institute of California-National Insti	Beneficial transitions to groundwater sustainability for agricultural resilience	Forrest S Melton	Applied Enviro	\$ 128,375	\$ 21,675	\$ 150,050	
50	Federal	9/6/22	R&D National Science Foundation	From the Intertidal to the Deep Ocean: Monterey Bay REU	David C Garza	Marine Science	\$ 865,045	\$ 53,984	\$ 919,029	
51	Federal	9/8/22	Public Serv Coalition of Homeless Services Providers-U.S. De	Street Outreach	Maria A Gurrola	Social Work	\$ 1,423,192	\$ 203,985	\$ 1,627,177	
52	State	9/16/22	R&D California Department of Food and Agriculture	Advancing Decision Support Systems for Vegetable Crops	Lee F Johnson	Applied Enviro	\$ 464,513	\$ 26,841	\$ 491,354	
53	Federal	9/21/22	Public Serv City of Salinas-U.S. Department of the Treasury	ARPA: Emergency Motel Program	Maria A Gurrola, Kai Medina-Ma	Social Work	\$ 498,198	\$ 96,522	\$ 594,720	
54	Federal	9/24/22	R&D Regents of UC/Davis-National Science Foundation	Developing Preservice Teacher Equity Stances: Formative Simulation Based Assessment of Noticin	Kayce Lee Mastrup	Education & Le	\$ 142,618	\$ 58,750	\$ 201,368	
55	Other	9/27/22	R&D Wildlife Conservation Society-New York State De	Applying environmental DNA analysis to biodiversity assessment and long-term ecological monitor	Susan Elizabeth Alter	Biology & Che	\$ 57,971	\$ 10,435	\$ 68,406	
56	State	9/29/22	R&D Regents of UC/Santa Cruz-California Ocean Prote	Identifying Pathways to Distributive Equity in Coastal Access	David C Garza	Marine Science	\$ 179,082	\$ 7,594	\$ 186,676	
57	Federal	10/3/22	Public Serv City of Salinas - HUD	County-Wide Outreach and Case Management	Maria A Gurrola	Social Work	\$ 330,664	\$ 63,198	\$ 393,862	
58	State	10/4/22	R&D Agricultural Research Institute/California State	IPM: Comparing pathogen suppression, and volatile organic and greenhouse gas emissions from	Arlene L Haffa	Bio & Chem	\$ 672,941	\$ -	\$ 672,941	
59	State	10/4/22	R&D Agricultural Research Institute/California State	IPM: Etiology and Integrated Management of Pythium wilt of Lettuce and its Co-occurrence with	Jose Pablo Dundore-Arias	Bio & Chem	\$ 268,232	\$ -	\$ 268,232	
60	State	10/7/22	R&D California Ocean Protection Council	Prop 68: Will Habitat Distribution Increase Resilience to Climate Change in Central California	James B Lindholm	Marine Science	\$ 339,275	\$ 83,569	\$ 422,844	
61	State	10/7/22	Academic S University Enterprises, Inc. (SacState)	CSU-LSAMP STEM Pathways & Research Alliance (SPaRA): International Research Experience	John E Banks	UROC	\$ 70,890	\$ -	\$ 70,890	
62	Local	10/19/22	Public Serv County of Monterey	Return of the Natives Helps Create Pollinator Patches for the Salinas Valley	Laura L Lienk	AES	\$ 8,838	\$ -	\$ 8,838	
63	State	10/28/22	Institutiona California Office of Statewide Health Planning &	MSW Expansion	Maria A Gurrola	Social Work	\$ 4,294,953	\$ -	\$ 4,294,953	
64	Federal	11/1/22	Academic S Regents of UC/Santa Cruz-US Dept. Agriculture	NEXTGEN: Cultivando Lidere: A regional partnership for training and serving diverse students in	Jessica Bautista	UROC	\$ 780,906	\$ 96,754	\$ 877,660	
65	Federal	11/30/22	Academic S U.S. Department of State	2023 Faculty Led SPAIN Summer Workshop	Juan J Gutierrez	SBS&GS	\$ 52,368	\$ 22,518	\$ 74,886	
66	Public S	12/5/22	Public Serv County of Monterey/Department of Social	Pajaro River Outreach and Case Management	Maria A Gurrola	Social Work	\$ 2,705,332	\$ 535,282	\$ 3,240,614	
67	State	12/6/22	R&D CA Leafy Greens Research Board-CA Dpt. of	Carbon Nanoparticle Addition to Fertilizers as a Strategy to Improve Lettuce Yields and Nitrogen	Arun D Jani	Bio & Chem	\$ 6,500	\$ -	\$ 6,500	
68	State	12/6/22	R&D CA Leafy Greens Research Board-CA Dpt. of	Expanding the Knowledge and Application of Sustainable Nitrogen Management in Leafy Greens	Arlene L Haffa	Bio & Chem	\$ 45,187	\$ -	\$ 45,187	
69	State	12/6/22	R&D Regents of UC/Riverside-CA Leafy Greens	Lettuce variety evaluation for tolerance to Pythium wilt and INSV coinfection	Jose Pablo Dundore-Arias	Bio & Chem	\$ 8,762	\$ -	\$ 8,762	
70	State	12/6/22	R&D Agricultural Research Services-CA Leafy Greens	USDA Lettuce Breeding for Improved Resistance to Pythium	Jose Pablo Dundore-Arias	Bio & Chem	\$ 14,672	\$ -	\$ 14,672	
71	State	12/6/22	R&D Regents of UC/Riverside-CA Leafy Greens	Tackling the triple threat of thrips, pythium, and impatiens necrotic spot virus using novel soil	Jose Pablo Dundore-Arias	Bio & Chem	\$ 17,331	\$ -	\$ 17,331	
72	Other	12/7/22	R&D OpenET-Colorado River Authority of Utah	OpenET Applications for the Colorado River Authority of Utah	Forrest S Melton	AES	\$ 374,148	\$ 74,830	\$ 448,978	
73	Federal	12/14/22	Academic S National Institute of Food and Agriculture/U.S.	NEXTGEN: Agribusiness Career Pipeline Ecosystem:: Invigorating the career pipeline for	Sumadhur Shakya	Business	\$ 3,519,056	\$ 1,480,944	\$ 5,000,000	
74	Federal	12/14/22	Academic S National Institute of Food and Agriculture/U.S.	NEXTGEN: Nurturing Relationships to Harvest Success: Leveraging Social Capital for Training	Jose Pablo Dundore-Arias	Bio & Chem	\$ 4,207,116	\$ 792,884	\$ 5,000,000	
75	Federal	12/14/22	Academic S Agricultural Research Institute/California State	NEXTGEN: Building a boulevard for career success in agriculture and natural resources for	Sandra D Robinson	HCOM	\$ 96,519	\$ 41,503	\$ 138,022	
76	Other	12/19/22	R&D Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 2023	John Robert Olson/James	AES	\$ 14,036	\$ 2,105	\$ 16,141	
77	Federal	12/19/22	R&D Central CA Coast Alliance for Health-US Dept.	ARPA: Street Outreach	Maria A Gurrola	Social Work	\$ 251,115	\$ 48,885	\$ 300,000	
78	Federal	12.20.22	R&D Christopher Newport University-National Science	RUI: Collaborative Research: Quantifying the Interfacial Partitioning and the Structural	Arunkumar Sharma	Bio & Chem	\$ 17,210	\$ 5,680	\$ 22,890	
79	Federal	12/22/22	R&D National Science Foundation	BRC-BIO: Brain neuroplasticity, molecular signature and behavioral changes induced by hypoxia	Zurine Miguel Malaxechevarria	Psychology	\$ 396,560	\$ 103,440	\$ 500,000	
Total FY22-23 Proposals							\$ 24,036,193	\$ 4,130,675	\$ 28,166,868	
Total Pending Proposals							\$ 26,479,231	\$ 4,469,354	\$ 30,948,585	

Grants & Contracts (Direct vs Indirect) FY22-23 2nd Quarter(Cumulative)

Type	Federal	State	Private	Local	Other	Grand Total	
Direct	6,139,080.63	1,382,085.74	546,660.16	61,244.44	165,522.46	8,294,593.43	
Indirect	856,532.38	215,077.55	75,686.37	9,469.33	30,844.83	1,187,610.46	
<i>Grand Total</i>	6,995,613.01	1,597,163.29	622,346.53	70,713.77	196,367.29	9,482,203.89	14.3%

Corporate and Foundation Relations Status Report - July 1, 2022 - March 14, 2023

This report reflects private funding activity from Corporate & Foundations.

Awarded to date Highlighted = University Corporation Grants						
	Sponsor	Program Funded	Principal Investigator (PI) /Lead	Notice of Opportunity	Program/Div	Amount \$
1	1st Capital Bank	iiED Donation over 5 years	Brad Barbeau	Invited	College of Business	25,000
2	Alvarez Technology	College of Business - Showcase Sponsorship				1,500
3	Advanced Transportation Services Inc. (ATS)	Agribusiness Supply Chain Management (ASCM) Program	Marylou Shockley		College of Business	5,000
4	American Chemical Society - Petroleum Research Fund	Investigating Inhibitor Selectivity and Affinity to Prevent Asphaltene Aggregation: supports student research, supplies/equipment, student/faculty travel to conference	Arun Sharma		College of Science	60,000
5	Apple (via CSUN) CSU HSI	Apple Scholars (\$150K non philanthropic, \$27K Apple Technology)	Rogers Walker		UROC	27,000
6	Chevron	Center for Black Student Success: African Heritage Research Collaborative, student research opportunities, 2022-23	Brian Corpening		Office of Inclusive Excellence & Sustainability	15,000
7	Church Brothers	Agribusiness Supply Chain Management (ASCM) Program	Marylou Shockley		College of Business	25,000
8	Community Foundation for Monterey County - Teacher Pathway Program Designated Fund	Teacher Pathway Program (TPP) funding towards salaries of the TPP staff (director, outreach/recruitment, student support coordinators, peer mentors (2 year funding \$125K available through Dec.31, 2023)	Patty Whang		College of Education	12,200
	Doctors on Duty/Cypress Healthcare Partners	Pre-health Program Series	Jennifer Kato		College of Science	10,000
9	Driscoll's Foundation	Agribusiness Supply Chain Management (ASCM) Program Endowed	Marylou Shockley		College of Business	250,000
10	Google	Computing Talent Initiative: Cohort CS degree model	Sathya Narayanan	Invited	College of Science	100,000
11	JO Alvarez Custom Broker	Agribusiness Supply Chain Management (ASCM) Program	Marylou Shockley		College of Business	5,000
12	Montage Health	Exercise is Medicine on Campus	Lisa Leininger		College of Health Science and Human Services	10,000

13	Monterey Peninsula Foundation	Otter Sports Center Renovation: Gymnasium and Weight Room Improvements	Kirby Garry		Athletics/Student Affairs	225,000
14	Nancy Buck Ransom Foundation	Bright Futures MiVidaMiFuturo Project (promotores working with High Schools to promote A-G college requirements and other family/student interests)	Josh Warburg		President's Office	10,000
15	Nunes Company	Agribusiness Supply Chain Management (ASCM) Program	Marylou Shockley		College of Business	100,000
16	Pacific Library Partners	Makerspace training course (via extended ed) open to students/staff/faculty	Jacqui Grallo		Library	12,000
17	Pebble Beach Co Foundation	Emergency/Basic Needs Funding	Joanna Snawder-Manzo	RENEWAL	Student Affairs	2,500
18	Pinnacle Bank (via FHL Bank AHEAD economic development grants)	iiED	Dan Ripke		College of Business	27,500
19	PG&E	iiED Get Virtual Program: Assisting student assis	Dan Ripke		College of Business	2,500
20	Powers Associates	iiED	Brad Barbeau		College of Business	15,000
21	Reiter Family Foundation	Agribusiness Supply Chain Management (ASCM) Program	Marylou Shockley		College of Business	125,000
22	Russell Sage Foundation	Between documented and undocumented: Strategies of H-2A guestworkers for Survival and Empowerment interviews/research (builds on a pilot focused on Salinas guestworkers)	Angie Tran	Invited	College of Arts, Humanities and Social Sciences	50,000
23	Salinas Valley Memorial Health	HSHS Diversity Series Sponsorship	Harald Barkhoff		College of Health Science and Human Services	5,000
24	Second Nature	Funding to Enhance the TAMC Partnership: funding to enhance the Sustainable City Year Program (SCYP) at CSUMB and Transportation Agency for Monterey County (TAMC). We are in the middle of the first year of a five-year partnership. This partnership is to enhance and implement Safe Routes to School-based (SRTS) programs within Monterey County. 7 faculty from across the campus are engaging their classes to work on projects to support activities. Funds support a grad student working on the project.	Dan Fernandez		College of Science	10,000

25	Shea Homes - Community Partners	iiED Sponsorship	Brad Barbeau		College of Business	1,000
26	State Garden	ASCM Endowment	Marylou Shockley	Cultivation: ASCM quarterly update by March 2023	College of Business	10,000
27	Ticho Foundation	College of Science Research Lab support			College of Science	10,000
28	Tyler Center for Global Studies	Global Base Studies Project and course by Dr. Dustin Wright. This funding will support study abroad awards for students and faculty advisory Dustin Wright in planning for the summer of 2023.	Dustin Wright		College of Arts, Humanities, and Social Sciences	20,000
29	WhiteSummers	iiED Sponsorship (via MCGives!)	Brad Barbeau	RENEWAL	College of Business	2,500
						1,173,700
	Pipeline - Submitted & Under Review	Highlighted = University Corporation Grants				
	Sponsor	Program proposal	Principal Investigator (PI) /Lead	Notice of Opportunity	College/Div	Amount \$
1	Bank of America	Future Engineering Program, decision end of '23	Andrew Lawson		College of Business	50,000
2	Chevron	STEM Summer Program 2023	Corin Slown/Andrew Lawson		College of Science	30,000
3	Community Foundation of Monterey County	Bright Futures ('23)	Josh Warburg		President's Office	30,000
4	Emerson	Agribusiness Supply Chain Management (ASCM) Program Endowed	Marylou Shockley		College of Business	50,000
5	IMA Research Foundation	Accounting online software access for research-BoardEx (ESG performance database): How directors' specific types of environmental, social and governance (ESG) expertise can influence companies' ESG results.	Shaowen Hua		College of Business	6,000
6	itradenetwork	Agribusiness Supply Chain Management (ASCM) Program Endowed	Marylou Shockley		College of Business	5,000
7	KPMG Foundation	Accounting outreach into local high schools about careers in accounting (involves local accounting alumni working in the industry)	Shaowen Hua		College of Business	25,000
8	Lujan Transportation	Agribusiness Supply Chain Management (ASCM) Program Endowed	Marylou Shockley		College of Business	25,000

9	Monterey Bay Aquarium Research Institute - MBARI (originating funds from OceanKind/Betty Moore Foundation)	Synchro: Co-Design Lab for Synchronizing Technology Evolution for Industry, Ocean Science and Conservation (collaboration between MBARI, Moss Landing Marine Laboratories, CSUMB, UCSC, Stanford), 4 year project funding PI time, student summer research in Marine Science, access to drones to complete offshore mapping, and professional development activities at SACNAS (Advancing Chicanos/Hispanics and Native Americans in Science) meeting (Notification in January)	Corey Garza		College of Science	118,000
10	Pebble Beach Company Foundation	Otter Athletics Scholarships 2023	Kirby Garry		Athletics	20,000
11	Primus Labs	Agribusiness Supply Chain Management (ASCM) Endowed	Marylou Shockley		College of Business	5,000
12	Solare Holding	iiED Sponsorship				500
13	Spencer Foundation - Small Research Opportunity	Research: History and Civics in Salinas Ethnic Studies Classrooms. How does the localization of history and curriculum support the development of ethnic studies praxis for students.	Chrissy Hernandez		Service Learning	50,000
14	Spencer Foundation - Large Research Opportunity	Bright Futures collaboration with Teacher Pathway Program (Dec.'22)	Cathi Draper Rodriguez Michael Applegate		College of Education/Bright Futures-President's Office	400,000
15	Barnet J. Segal Charitable Trust	CAHSS Music Program (Dec with a notification in April '23)	Juanita Cole		College of Arts, Humanities and Social Sciences	25,000
16	US Bank	Student Success Center	Rhonda Mercadal-Evans		Career Services	1,000,000
17	Whole Foods	Basic Needs Emergency Fund	Robyn Do Canto		Basic Needs	2,000
						1,841,500
	Not funded					
	Sponsor	Program proposal			College/Div	Amount \$
1	11th Hour Racing/Schmidt Family Foundation	Project leverages newly accessible virtual reality (VR) technology to 'immerse' high school students on two continents in the undersea world. Notification March '23	James Lindholm		College of Science	28,000

2	Bay Area Council Foundation (via subaward City of Santa Cruz)	Tourist Responses to Climate Change Impacts and Adaptation, funding will support Dr. Atzori's design and analysis of a survey	Roberta Atzori		College of Business	45,673
3	Arnold and Mabel Beckman Foundation - 2023 Beckman Scholars Program	Funding for 2 Beckman Scholarships each year for 3 years/summer (\$21K/per scholar + \$5K for mentor) supporting participation in research activities/travel	Arunkumar Sharma/Arlene Haffa	Invited	College of Science	156,000
4	Cal Fire Foundation	Wildfire Educational Preparedness Campaign for CSUMB Campus Community	Ken Folsom		Emergency Preparedness	15,000
5	Joseph and Vera Long Foundation	Return of the Natives	Laura Lee Leink			46,000
6	Mellon Foundation - Concept	Creative Writing and Social Action program (CWSA), the People's Poetry Project addresses both the limited programming, especially as it relates to social justice poetry and storytelling, and the tremendous potential to establish key arts infrastructure in the region. Through its CWSA concentration, CSUMB is uniquely situated to facilitate a multi-pronged approach of cultivating a social justice writing community and culture on California's Central Coast	Daniel Summerhill		Service Learning/College of Arts, Humanities and Social Sciences	500,000
5	Michelson Foundation - LOI	Bright Futures LOI (invitations for proposal expected in October '22)	Josh Warburg		President's Office	tbd
6	Apple (via CSUN) CSU HSI	Monterey County Pipeline	Josh Warburg		President's Office	150,000
7	Apple (via CSUN) CSU HSI	Makerspace collaboration with Monterey Peninsula College	Jacqui Grallo		Library	150,000
8	Apple (via CSUN) CSU HSI	Entrepreneurship STEM Focus collaboration	Jenny Kuan, Josh Gross		College of Business/College of Science	150,000
9	Apple (via CSUN) CSU HSI	Seal of Excelencia at CSUMB	Doug Smith		College Support Programs/Student Affairs	150,000
	Proposal Planning	Highlighted = University Corporation Grants				
	Sponsor	Program proposal			Program/Div	Amount Est.\$

1	Berkshire Foundation	RISE/S3 Bridge Summer Program for Incoming Science First Gen/Low-Income Students/Peer Mentors/RISE S3 Endowment (Dec. '22, notification in June/July)	Andrew Lawson	RENEWAL	College of Science	275,000
2	CITI Foundation	Basic Needs	Joanna Snawder-Manzo	Invited	Basic Needs	500,000
3	College Futures Foundation	TBD ('22-23)	TBD		President's Office	200,000
4	Community Foundation of Monterey County	CHE	Jacqui Smith	Decided to apply during the May '23 cycle	College of Health Science and Human Services	50,000
5	Costco	Basic Needs	Joanna Snawder-Manzo		Basic Needs	10,000
6	ECMC Foundation	TBD ('22-23)	TBD		President's Office	150,000
7	Enterprise Holding Foundation	College Assistance Migrant Program (CAMP) supporting CAMP students beyond their 1st year at CSUMB, College Support Programs (Dec'22)	Clementina Macias		Career Services	5,000
8	Harden Foundation	COS Greenhouse (March '23)	Andrew Lawson		College of Science	100,000
9	Hearst Foundation	TBD	TBD		TBD	100,000
	Alex and Rita Hillman Foundation	Nursing	Alyssa Erikson	LOI	CHSHS	50,000
10	Lumina Foundation- concept/inquiry only sent (no invitation yet)	Interest to apply focus: Student Success	Juanita Cole		College of Arts, Humanities and Social Sciences	50,000
11	Josiah Macys Jr Foundation - LOI	Nursing	Alyssa Erikson		College of Health Science and Human Services	25,000
12	Mechanics Bank	sponsorship (Jan '23)	TBD		TBD	5,000
13	Monterey Peninsula Foundation	Athletics upgrades	Kirby Gary		Athletics	225,000
14	The David and Lucile Packard Foundation - Local Grants	TBD, Bright Futures collaboration with a campus program	TBD		TBD/President's Office	
15	Schultze Family Foundation	iiED donation (Jan'23)	Jennifer Kuan	RENEWAL	College of Business	1,500
16	Sloan Foundation	COS TBD	TBD	LOI	College of Science	500,000
17	Sobrato Foundation - LOI	Entrepreneurship Fund/iiED (Jan.'22)	Dan Ripke		College of Business	TBD
18	StriveTogether	Bright Futures ('23)	Michael Applegate	RENEWAL	College of Business	TBD
19	Sysco	Agribusiness Supply Chain Management (ASCM) Endowed Professorship (tbd '23)	Marylou Shockley		College of Business	TBD

20	Upjohn Fund	College Assistance Migrant Program (CAMP) supporting CAMP students beyond their 1st year at CSUMB, College Support Programs (July '23,no opportunity in '22-23)	Clementina Macias		Various	2,000
21	Walmart	First Generation Programming	Clementina Macias		Various	2,500
22	William T Grant Foundation	COE Bilingualism Study	Suzanne Garcia-Mateus		College of Education/MIEL	350,000
23	WorkRise	Entrepreunership Fund/iiED (2023)	Jennifer Kuan		College of Business	TBD
						2,601,000

University Corporation at Monterey Bay BOARD MEMBER ROLES & RESPONSIBILITIES

The CSU auxiliary organization system was created in the 1940s by the California legislature to provide assistance to CSU campuses.

Why are there auxiliary organizations in the CSU?

To perform essential functions that are difficult, cumbersome, or legally restricted for the University under California law, and were not supported by state funding.

- Supplemental services that complement the core academic programs
- Diversify resources by generating non-State Fund revenues
- Flexibility in managing funds and financing
- Investment flexibility
- Assume risk and shift risk from university
- Provide flexibility in employment, contracting, sales, expenditures
- Provide more flexibility in real estate- buy, hold, operate and sell
- Accept entrepreneurial and special program risks
- Opportunities for increased community engagement and partnerships

What and who oversee and work with Corporation?

- Authorized by CSU Chancellor Office, and the campus to provide certain functions to the specific campus
- Nonprofit Corporation under state of California
- Tax Exempt federal and state tax law
- Others- CSUMB President, CFO/VP AF; Advancement dept, Corporation board, committees, management; Corporation policies/procedures; GASB, UPMIFA; donors partners sponsors

General purpose of the Corporation?

- To support Corporation's public and charitable purposes by providing policy and direction, strategic advice to support CSUMB
- Promote understanding in the community of CSUMB
- Administer gifts, bequests, devises, endowments, trusts, and similar funds
- Provide strategic oversight of the short and long term management of investment funds
- Play an active role in fundraising and development processes in support of CSUMB

What is the general role of the Board of the Corporation?

- Be the legal, corporate voice of the Corporation
- Set policy that is carried out by staff, guiding the planning of the Corporation
- Authorize activities on strategic level, set direction
- Strategically oversee investments
- Represent the board as needed
- Approve annual budget
- Not responsible for day to day functions, which is delegated by Board to staff, officers

Attachment VII-E

WHAT ARE THE RESPONSIBILITIES OF THE INDIVIDUAL DIRECTORS OF THE BOARD OF CORPORATION?

The Corporation is subject to California nonprofit corporation law and a number of provisions in the California education code specifically for auxiliaries. The code states that all decisions of the directors of the board are to comply with four primary fiduciary duties owed by all directors to the organizations they serve.

- (1) the duty of care;
- (2) the duty of inquiry;
- (3) the duty of loyalty; and
- (4) the duty to follow investment standards.

1. DUTY OF CARE “PRUDENT PERSON” STANDARD- Cal Corp Code §5231(a):

- a. Directors are not required or expected to make perfect decisions.
- b. Directors may be creative, take risks, so long as risks are reasonable
- c. Perform “in good faith, in a manner such director believes to be in the best interests of the corporation, and with such care, including reasonable inquiry, as an ordinarily prudent person in a like position would use under similar circumstances.”

2. DUTY OF INQUIRY- Cal Corp Code §5231:

- a. A Director must make such reasonable inquiry as an ordinarily prudent person would make under similar circumstances.
- b. Directors may obtain the services of and rely upon opinions, reports or other information prepared or presented by:
 - i. One or more officers or employees of the corporation whom the directors believe to be reliable and competent in the matters presented;
 - ii. Counsel, independent accountants, or other persons on matters which the director believes to be within such person’s professional or expert competence; and
 - iii. A committee of the board upon which the director does not serve.

3. DUTY OF LOYALTY- Cal Corp. Code §§5231, 5233, 5236,7231, 9241

- a. Directors must act in a manner that they believe to be in the best interest of the corporation.
- b. The duty of loyalty includes a duty to avoid conflicts of interest between the directors individually and the corporation.
- c. No self-dealing transactions in which a member of its governing board has a material financial interest.
- d. **Avoid Conflict of Interest and self-dealing. Cal. Ed Code § 89906-89908** No member of the governing board shall be financially interested in any contract or other transaction entered into on behalf of the board. Limited exceptions to this rule are allowed upon adequate disclosure where the Board's action was not dependent upon the member(s) with a financial interest and if the interest is limited.
- e. **Use of Nonpublic Information by Board Members- Cal. Ed Code § 89909.** “It is unlawful for any person to utilize any information, not a matter of public record, which is received by him by reason of his membership on the governing board of an auxiliary organization, for personal pecuniary gain, regardless of whether he is or is not a member of the governing board at the time such gain is realized.”

4. DUTY TO FOLLOW INVESTMENT STANDARDS- Cal Corp. Code §§5210, 5240; Cal Probate Code §§18501-18510

- a. This fiduciary duty applies to investment assets held by public benefit corporations, the assets of

- which are held in charitable trust.
- b. Avoid speculation, looking instead to the permanent disposition of the funds, considering the probable income, as well as the probable safety of the corporation's capital;
 - c. Comply with additional standards, if any, imposed by the corporation's articles, bylaws, or the express terms of an instrument or agreement pursuant to which the assets were contributed to the corporation; and
 - d. In carrying out their investment duties, a director must comply with the duties of due care and reasonable inquiry, may rely upon others, and may delegate its investment powers.
 - e. Must use funds in accordance with Uniform Prudent Management of Institutional Funds Act.

INDEMNIFICATION OF DIRECTORS

A director has the right to be protected by the Corporation, on the Board of which the director is serving, from financial exposure arising out of or resulting from his or her actions as a director.

1. Bylaws (Article VIII) [Univ Corporation Bylaws, last amended 2021](#)
2. Corporation law
3. Errors and Omissions Insurance

ADDITIONAL SELECTED AUXILIARY REQUIREMENTS IN EDUCATION CODE FOR YOUR INFORMATION

SECTION 89906. USE OF NONPUBLIC INFORMATION FOR PERSONAL GAIN BY EMPLOYEES OR CONTRACTORS.

It is unlawful for any person to utilize any information, not a matter of public record, that is received by that person by reason of his or her employment by, or contractual relationship with ... an auxiliary organization of the California State University, for personal pecuniary gain, not contemplated by the terms of the employment or contract, regardless of whether the person is or is not so employed or under contract at the time the gain is realized.

SECTION 89920. PUBLIC MEETING REQUIREMENT

Each governing board, or any sub-board of the governing board, of an auxiliary organization shall conduct its business in public meetings. All governing board and sub-board meetings shall be open and public, and all persons shall be permitted to attend any meeting of the governing board or sub-board of an auxiliary organization, except as otherwise provided in this article. (1984)

SECTION 89921. REGULAR AND SPECIAL MEETINGS; NOTICE

Each governing board and sub-board shall annually establish, by resolution, bylaws, or whatever other rule is required for the conduct of business by that body, the time and locations for holding regular meetings. Each governing board and sub-board shall, at least one week prior to the date set for the meeting, give written notice of every regular meeting, and any special meeting which is called, at least one week prior to the date set for the meeting, to any individual or medium that has filed a written request for notice. Any request for notice filed pursuant to this section shall be valid for one year from the date on which it is filed unless a renewal request is filed. (1984)

SECTION 89922. SPECIAL MEETINGS; CALL AND NOTICE

A special meeting may be called at any time by the presiding officer of a governing board or sub-board, or by a majority of the members of the governing board or sub-board, by delivering personally or by mail written notice to each member of the board or sub-board, and to any medium or other party to be directly affected by a meeting, or any other person who has requested notice in writing. The call and notice of a special meeting shall be delivered at least 24 hours prior to any meeting and shall specify the time and place of the special meeting and the business to be transacted. No other business shall be considered at these meetings by the governing board or sub-board. Written notice may be dispensed with as to any member who, at or prior to the time the meeting convenes, files with the clerk or the secretary of the governing board or sub-board a written waiver of notice. The waiver may be given by telegram. Written notice may also be dispensed with as to any member who is actually present at the meeting at the time it convenes. (1984)

SECTION 89923. CLOSED SESSIONS

Any governing board or sub-board may hold closed sessions to consider matters relating to litigation, collective bargaining, or the appointment, employment, evaluation of performance, or dismissal of an employee, or to hear complaints or charges brought against an employee by another person or employee, unless the employee requests a public hearing. For the purposes of this section, "employee" does not include any person elected or appointed to an office. A board or sub-board, upon a favorable majority vote of its members, may also hold a closed session to discuss investments where a public discussion could have a negative impact on the auxiliary organization's financial situation. In this case, a final decision shall only be made during public sessions. (1984)

SECTION 89924. POSTING OF ISSUES PRIOR TO BOARD ACTION

No governing board or sub-board shall take action on any issue until that issue has been publicly posted for at least one week. (1984)

SECTION 89925. ELECTION OF OFFICERS AND BOARD MEMBERS

Each auxiliary organization shall establish, by constitution, statute, bylaws, or resolution, provisions for elections of officers and board members. These provisions shall be designed to allow all those eligible to vote complete access to all information on issues and candidates. These provisions shall include, but not be limited to, provisions for sample ballots, numbers of days and hours for voting, polling locations, and notice of elections. (1984)

SECTION 89927. KNOWLEDGE OF MEETING IN CONTRAVENTION OF ARTICLE; VIOLATION BY ATTENDEES

Each member of a governing board pursuant to this article who attends a meeting of the governing board where action is taken in violation of any provision of this article, with knowledge of the fact that the meeting is in violation of this article, is guilty of a misdemeanor. (1984)

Auxiliary board roles, duties and responsibilities

University Corporation at
Monterey Bay

March 23, 2023
Starr Lee, JD MBA
Senior Associate Executive Director
University Corporation at Monterey Bay

Why are there auxiliary organizations in the CSU?

- Assume risk and shift risk from university
- Diversify resources by generating non-State Fund revenues
- Flexibility in managing funds and financing
- Investment flexibility
- Provide supplemental services that complement the core academic programs
- Provide flexibility in employment, contracting, sales, expenditures
- Provide flexibility in real estate- buy, hold, operate and sell
- Accept entrepreneurial and special program risks
- Opportunities for increased community engagement and partnerships



Director duties

- California Corporation and Education Code standards
- Fiduciary duties of individual directors
 - Duty of care
 - Duty of inquiry
 - Duty of loyalty
 - Duty to follow investment standards

3/14/2023

5

General Information

- Indemnification of directors
- Conflict of Interest acknowledgement form
- Auxiliaries subject to Public Records Act
- Other Education Code provisions related to auxiliaries
 - Regular and special meeting notice rules
 - Closed sessions for limited reasons only
 - Public meeting requirements
 - No use of nonpublic info by employees, others

3/14/2023

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