

**University Corporation at Monterey Bay**

**Federal Awards**

**Reports and Schedule**

**Year Ended June 30, 2021**

**University Corporation at Monterey Bay**  
**Federal Awards**  
**Reports & Schedule**  
**Year Ended June 30, 2021**

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**Independent Auditors' Report on Internal Control Over Financial Reporting and  
on Compliance and Other Matters Based on an Audit of Financial Statements  
Performed in Accordance with *Government Auditing Standards***

Board of Directors  
University Corporation at Monterey Bay  
Seaside, California

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the statements of net position and related statements of revenues, expenses and changes in net position and cash flows of University Corporation at Monterey Bay (the Corporation), a component unit of California State University, Monterey Bay, as of and for the year ended June 30, 2021, and the related notes to the financial statements, which collectively comprise the Corporation's basic financial statements, and have issued our report thereon dated September 17 2021.

**Internal Control Over Financial Reporting**

In planning and performing our audit, we considered the Corporation's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Corporation's internal control. Accordingly, we do not express an opinion on the effectiveness of the Corporation's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Board of Directors  
University Corporation at Monterey Bay  
Seaside, California  
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Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Corporation’s financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity’s internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity’s internal control and compliance. Accordingly, this communication is not suitable for any other purposes.



Glenn Burdette Attest Corporation  
San Luis Obispo, California

September 17, 2021



**Independent Auditors' Report on Compliance for Each Major Program  
and on Internal Control Over Compliance and on the Schedule of Expenditures of Federal Awards  
Required by the Uniform Guidance**

Board of Directors  
University Corporation at Monterey Bay  
Seaside, California

**Report on Compliance for Each Major Federal Program**

We have audited University Corporation at Monterey Bay's (the Corporation), a component unit of California State University, Monterey Bay, compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the Corporation's major federal programs for the year ended June 30, 2021. The Corporation's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

**Management's Responsibility**

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

**Auditors' Responsibility**

Our responsibility is to express an opinion on compliance for each of the Corporation's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Corporation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Corporation's compliance.

**Opinion on Each Major Federal Program**

In our opinion, the Corporation complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2021.

**Report on Internal Control Over Compliance**

Management of the Corporation is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Corporation's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Corporation's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

University Corporation at Monterey Bay  
Board of Directors  
Seaside, California  
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The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

**Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance**

We have audited the financial statements of the Corporation as of and for the year ended June 30, 2021, and the related notes to the financial statements, which collectively comprise the Corporation’s basic financial statements. We issued our report thereon dated September 17, 2021, which contained unmodified opinions on the financial statements. Our audits were conducted for the purpose of forming opinions on the financial statements that collectively comprise the Corporation’s financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.



Glenn Burdette Attest Corporation  
San Luis Obispo, California

September 17, 2021

**University Corporation at Monterey Bay  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2021**

Program or Cluster Title/ Federal Grantor/ Pass Through Grantor	Federal CFDA Number	Pass Through Entity Identifying Number	Federal Expenditures	Expenditures to Subrecipients
<b>RESEARCH AND DEVELOPMENT (CLUSTER)</b>				
<b>Department of Agriculture:</b>				
Agricultural Research Basic and Applied Research Site-specific Soil Pest Management in Strawberry & Vegetable Cropping Systems - Remote Sensing	10.001		\$ 81,590	\$
Specialty Crop Block Grant Program - Farm Bill Addressing Improvements in Water Use Efficiency of High-Value Salinas Valley Specialty Crops	10.170	17-0275-033-SC	24,412	
Pass through from CA Dept. of Food & Agriculture	10.170	20-0001-041-F	17,207	
Extension of the CropManage Decision-Support System to Viticultural Management Pass through from CA Dept. of Food & Agriculture	10.170	SA19-4837-01	43,758	
Improving Water Use Efficiency of Cool Season Vegetable Crops Pass through from Regents of the University of California	10.170		<u>85,377</u>	<u>-</u>
Subtotal				
Specialty Crop Research Initiative Integrating anaerobic soil disinfestation, crop rotation and variety of disease management in strawberry production				
Pass through from University of California, Santa Cruz	10.309	A18-0425-S001-P0664910	8,552	
<b>Subtotal Department of Agriculture</b>			<u>175,519</u>	<u>-</u>
<b>Department of Commerce:</b>				
Sea Grant Support Informing restoration and recovery of central coast kelp forests: understanding the dynamics of urchin recruitment, reproduction, and density				
Pass through from University of California, San Diego	11.417	R/HCE-16	65,496	
Educational Partnership Program NOAA Center for Coastal and Marine Ecosystems Pass through from Florida A&M University	11.481	C-5007	(307)	
NOAA Center for Coastal and Marine Ecosystems Pass through from Florida A&M University	11.481	C-5045	43,630	
NOAA Center for Coastal and Marine Ecosystems Pass through from Florida A&M University	11.481	C-5087	264,657	
NOAA Center for Coastal and Marine Ecosystems Pass through from Florida A&M University	11.481	C-5060	265	
NOAA Center for Coastal and Marine Ecosystems Pass through from Florida A&M University	11.481	C-5090	15,689	
Subtotal			<u>323,934</u>	<u>-</u>
<b>Subtotal Department of Commerce</b>			<u>389,430</u>	<u>-</u>
<b>Department of Defense</b>				
Basic and Applied Scientific Research SCI Deep Water Monitoring	12.300		116,812	
<b>Subtotal Department of Defense</b>			<u>116,812</u>	<u>-</u>
<b>Department of the Interior</b>				
Endangered Species Conservation Recovery Implementation Funds Evaluation of Monterey Gilia Management, Current Extent and Restoration	15.657		3,818	
US Geological Survey Research and Data Collection Mapping intertidal biofilm community, quantity, and quality to inform management priority questions	15.808		1,997	
<b>Subtotal Department of the Interior</b>			<u>5,815</u>	<u>-</u>

See accompanying notes to schedule of expenditures of federal awards.



**University Corporation at Monterey Bay  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2021  
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Program or Cluster Title/ Federal Grantor/ Pass Through Grantor	Federal CFDA Number	Pass Through Entity Identifying Number	Federal Expenditures	Expenditures to Subrecipients
<b>National Aeronautics and Space Administration:</b>				
Science Programs				
Science Mission Operations by NSERC and Related Educational Activities Pass through from Bay Area Environmental Research (BAERI) Institute	43.001	NNX12AD05A-CSUMB1	\$ 1,558,242	\$ 56,246
<b>Subtotal National Aeronautics and Space Administration</b>			<u>1,558,242</u>	<u>56,246</u>
<b>National Endowment for the Humanities</b>				
Promotion of the Humanities Public Programs				
Tekite Revisited: NASA's Forgotten Underwater Mission	45.164		17,922	
<b>Subtotal National Endowment for the Humanities</b>			<u>17,922</u>	<u>-</u>
<b>National Science Foundation:</b>				
Mathematical and Physical Sciences				
RUI: Molecular Design Redox Active Deep Eutectic Solvents	47.049		46,798	
Geosciences				
ASPIRE	47.050		16,371	
GEOPaths-Undergraduate Preparation: Geo-Bridge Program	47.050		15,940	
The Geo Futures Program: A Workshop for Undergraduates	47.050		4,164	
Renewal: From the Intertidal to the Deep Ocean	47.050		125,881	
The SACNAS Geo-Futures Program	47.050		33,678	
Subtotal			<u>196,034</u>	<u>-</u>
Biological Sciences				
Agricultural Microbiomes Research Coordination Network Pass through from Regents of the University of Minnesota	47.074	H006143901	55,154	
REU: From the Intertidal to the Deep Ocean	47.074		40,935	
SG/RUI: Collaborate Research; The evolution of extreme phenotypic convergence across fish lineages in the hyper-diverse lower Congo River	47.074		11,131	
Subtotal			<u>107,220</u>	<u>-</u>
Education and Human Resources				
Incorporating Sciurid Behavioral Research into the Undergraduate Curriculum	47.076		1,758	
Developing Validated Instruments to Measure Student/Faculty Attitudes in Undergraduate Statistics and Data Science Education	47.076		12,342	
NSF-CSU LSAMP Louis Stokes STEM Pathways and Research Alliance 2019-20 Pass through from University Enterprises Inc	47.076	532942	5,200	
Replication of a Cohort-Based Computer Science Bachelor's Degree Model	47.076		721,459	555,065
Rural Integrated STEM Education: Middle and High School Teacher Pass through from California State University, Channel Islands	47.076	1617.0009	122,654	
A Collaborative Regional Alliance to Prepare STEM Secondary Teachers for Service in Rural, High-Need Schools and School Districts	47.076		10,617	
Subtotal			<u>874,030</u>	<u>555,065</u>
<b>Subtotal National Science Foundation</b>			<u>1,224,082</u>	<u>555,065</u>

See accompanying notes to schedule of expenditures of federal awards.

**University Corporation at Monterey Bay  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2021  
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<b>Program or Cluster Title/ Federal Grantor/ Pass Through Grantor</b>	<b>Federal CFDA Number</b>	<b>Pass Through Entity Identifying Number</b>	<b>Federal Expenditures</b>	<b>Expenditures to Subrecipients</b>
<b>US Environmental Protection Agency</b>				
Water Quality Management Planning				
Dry Stream Assessment Phase III				
Pass through from Southern California Coastal Water Research Project Authority	66.454	SWRCB000000000D181500500	\$ 51,221	\$ -
Lake Champlain Basin Program				
Developing and Validating Assessment Tools for Ephemeral Streams				
Pass through from Southern California Water Research Project Authority	66.481	10280	1,019	
Development of a Flow Duration Assessment Method for the Arid Southwest (ASW) and Western Mountains (WM)				
Pass through from Southern California Water Research Project Authority	66.481	16686	125,475	
<b>Subtotal US Environmental Protection Agency</b>			<u>177,715</u>	<u>-</u>
<b>National Institute of Health</b>				
Trans-NIH Research Support				
BD2K Innovative Research Education	93.310		138,299	
Biomedical Research and Research Training				
Science teaching through the arts: Bringing state-of-the-art environmental health education to youth in agricultural communities	93.859		101,892	
<b>Subtotal National Institute of Health</b>			<u>240,191</u>	<u>-</u>
<b>Total Research and Development Cluster</b>			<u>3,905,728</u>	<u>611,311</u>
<b>DEPARTMENT OF AGRICULTURE</b>				
Higher Education - Institution Challenge Grants Program:				
Agricultural Science Pathway 2+2 HEC Project	10.217		1,393	
Hispanic Serving Institutions Education Grants				
No More Silos: Multi-disciplinary and data intensive training for careers in agricultural and natural resource industries and agencies				
Pass through from Cal Poly Pomona Foundation, Inc.	10.223	S20-008830-MB	25,364	
<b>Subtotal Department of Agriculture</b>			<u>26,757</u>	<u>-</u>
<b>DEPARTMENT OF COMMERCE</b>				
Economic Adjustment Assistance				
Startup Monterey Bay Launchpad	11.307		227,669	
CARES: Re-Start & Recovery for Monterey Bay	11.307		28,635	
Subtotal			<u>256,304</u>	<u>-</u>
Sea Grant Support				
CSU COAST Scholars-In-Training Pilot Program 2021-21				
Pass through from University of California, San Diego	11.417	R/HCE-20PD	2,528	
Cooperative Science and Education Program				
CSU COAST Summer Internships NOAA-NMFS 2019-2022	11.455		6,000	
<b>Subtotal Department of Commerce</b>			<u>264,832</u>	<u>-</u>
<b>DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT</b>				
Emergency Solutions Grant Program				
CARES: Emergency Solutions Grant				
Pass through from City of Salinas	14.231	N/A	60,923	
CARES: Emergency Solutions Grant-CV				
Pass through from City of Salinas	14.231	N/A	9,941	
<b>Subtotal Department of Housing and Urban Development</b>			<u>70,864</u>	<u>-</u>

See accompanying notes to schedule of expenditures of federal awards.

**University Corporation at Monterey Bay**  
**Schedule of Expenditures of Federal Awards**  
**Year Ended June 30, 2021**  
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Program or Cluster Title/ Federal Grantor/ Pass Through Grantor	Federal CFDA Number	Pass Through Entity Identifying Number	Federal Expenditures	Expenditures to Subrecipients
<b>DEPARTMENT OF THE INTERIOR</b>				
Threatened and Endangered Species California Cooperative Ecosystems Studies Unit Return of the Natives Fort Ord	15.246		\$ 32,147	\$ -
Coastal Monterey State Beach Dune Restoration	15.630		(208)	
<b>Subtotal Department of the Interior</b>			<u>31,939</u>	<u>-</u>
<b>NATIONAL ENDOWMENT FOR THE HUMANITIES</b>				
Promotion of the Humanities Teaching and Learning Resources and Curriculum Development Improving Learning and Achievement with Reading/Writing-Enriched Curriculum in the Disciplines	45.162		5,160	
<b>Subtotal National Endowment for the Humanities</b>			<u>5,160</u>	<u>-</u>
<b>DEPARTMENT OF EDUCATION</b>				
Higher Education Institutional Aid Ready Set Transfer Pass through from Monterey Peninsula College	84.031	PO31S180134-S1	128,577	
Research-based Interventions The Making Accessible and Effective Systems for Teacher Readiness Outcomes (MAESTROS) Project	84.031C		572,246	
Cabrillo/CSUMB Partnership to Strengthen Transfer Pathways Pass through from Cabrillo Community College District	84.031S		823,594	312,539
Mentorship and Equity in Transitions to Achieve Student Success (METAS)	84.031S	PO B0024828	122,888	
Subtotal			<u>1,763,008</u>	<u>312,539</u>
TRIO Cluster TRIO: Student Support Services	84.042A		279,735	
TRIO: SSS-STEM/HS	84.042A		237,977	
TRIO: Educational Talent Search	84.044A		570,292	
TRIO: Upward Bound Pajaro Valley & North Monterey County HS	84.047A		250,681	
TRIO: Upward Bound Soledad & Watsonville	84.047A		262,696	
TRIO: McNair Postbaccalaureate Achievement Program	84.217A		127,167	
Subtotal			<u>1,728,548</u>	<u>-</u>
Migrant Education College Assistance Migrant Program CAMP 2014-2019	84.149A		(269)	
CAMP 2019-2024	84.149A		379,608	
Subtotal			<u>379,339</u>	<u>-</u>
Special Education Personnel Development to Improve Services & Results for Children with Disabilities Preparing School Psychologists	84.325K		16,526	
Preparing School Social Workers	84.325K		253,839	
Subtotal			<u>270,365</u>	<u>-</u>
Gaining Early Awareness and Readiness for Undergraduate Programs GEAR UP: Seaside/Salinas	84.334A		610,950	
GEAR UP: South County	84.334A		464,355	
Coastal GEAR-UP	84.334A		439,134	
Subtotal			<u>1,514,439</u>	<u>-</u>
Teacher Quality Partnership Grants El Camino Education Alliance	84.336S		723,524	288,992
Project POPPY: Preparing Observational Practitioners through Partnerships Yearlong	84.336S		680,198	
Subtotal			<u>1,403,722</u>	<u>288,992</u>

See accompanying notes to schedule of expenditures of federal awards.

**University Corporation at Monterey Bay  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2021  
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Program or Cluster Title/ Federal Grantor/ Pass Through Grantor	Federal CFDA Number	Pass Through Entity Identifying Number	Federal Expenditures	Expenditures to Subrecipients
<b>DEPARTMENT OF EDUCATION - continued</b>				
Improving Teacher Quality State Grants MBWLP-NCLB 2020-21 Pass through from Regents of University of California, Office of President	84.367	NCLB14-CWLP-MONTEREY BA	\$ 32,963	\$
<b>Subtotal Department of Education</b>			<u>7,092,384</u>	<u>601,531</u>
<b>NATIONAL ARCHIVES</b>				
National Historical Publications and Records Grants CSU Japanese American Digitization Project Pass through from California State University, Dominguez Hills	89.003	F19-366	4,194	
<b>Subtotal National Archives</b>			<u>4,194</u>	<u>-</u>
<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>				
Substance Abuse and Mental Health Services Projects of Regional and National Significance The CSUMB GLS Campus Suicide Prevention Project	93.243		16,309	
<b>Community Services Block Grant</b>				
CSUMB CARES Outreach Pass through from Monterey/San Benito Counties Coalition	93.569	N/A	64,914	
Foster Care Title IV-E CalSWEC IV-E 2020-21 Pass through from Regents of University of California, Berkeley	93.658	00010501	128,135	
Scholarships for Health Professions Students from Disadvantaged Backgrounds SWIPE in!	93.925		637,024	
<b>Subtotal Department of Health and Human Services</b>			<u>846,382</u>	<u>-</u>
<b>CORPORATION FOR NATIONAL AND COMMUNITY SERVICE</b>				
AmeriCorps AmeriCorps VIP, 2019-20 Pass through from Napa County Office of Education	94.006	N/A	11,362	
AmeriCorps VIP, 2020-21 Pass through from Napa County Office of Education	94.006	N/A	66,823	
<b>Subtotal Corporation for National and Community Service</b>			<u>78,185</u>	<u>-</u>
<b>DEPARTMENT OF HOMELAND SECURITY</b>				
Disaster Grants - Public Assistance (Presidentially Declared Disasters) Project Roomkey Pass through from Monterey/San Benito Counties Coalition of Homeless Service Providers	97.036	N/A	120,314	
<b>Subtotal Department of Homeland Security</b>			<u>120,314</u>	<u>-</u>
<b>TOTAL EXPENDITURES OF FEDERAL AWARDS</b>			<u>\$ 12,446,739</u>	<u>\$ 1,212,842</u>

See accompanying notes to schedule of expenditures of federal awards.

**University Corporation at Monterey Bay**  
**Notes to Schedule of Expenditures of Federal Awards**  
**Year Ended June 30, 2021**

**Note 1: Basis of Presentation**

The accompanying schedule of expenditures of federal awards (the schedule) includes the federal award activity of the University Corporation at Monterey Bay (the Corporation) under programs of the federal government for the year ended June 30, 2021. The information in this Schedule is presented in accordance with the requirements of Title 2 *U.S. Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the financial statements. Because the Schedule presents only a selected portion of the operations of the Corporation, it is not intended to and does not present the financial positions, changes in net assets, or cash flows of the Corporation.

**Note 2: Indirect Cost Rate**

The Corporation has not elected to use the ten percent de minimis indirect cost rate as allowed under the Uniform Guidance, but rather the rates established directly with the respective federal agencies.

**University Corporation at Monterey Bay  
Schedule of Findings and Questioned Costs  
Year Ended June 30, 2021**

**Section I - Summary of Auditors' Results**

***Financial Statements***

- (a) Type of auditors' report issued on financial statements: **Unmodified.**
- (b) Internal control over financial reporting:
  - Material weakness(es) identified: **No.**
  - Significant deficiencies identified not considered to be material weaknesses: **None Reported.**
- (c) Noncompliance material to financial statements noted: **No.**

***Federal Awards***

- (d) Internal control over major programs:
  - Material weakness(es) identified: **No.**
  - Significant deficiencies identified not considered to be material weaknesses: **None Reported.**
- (e) Type of auditors' report issued on compliance for major programs: **Unmodified.**
- (f) Any audit findings disclosed that are required to be reported in accordance with 2 CFR section 200.516(a): **No.**
- (g) Dollar threshold used to distinguish between Type A and Type B programs: **\$750,000.**
- (h) Major Programs:

<i>CFDA Number(s)</i>	<i>Name of Federal Program or Cluster</i>
Multiple	TRIO Cluster
11.307	Economic Adjustment Assistance
84.336	Teacher Quality Partnership Grants

- (i) Auditee qualified as low-risk auditee: **Yes.**

**University Corporation at Monterey Bay**  
**Schedule of Findings and Questioned Costs**  
**Year Ended June 30, 2021**  
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**Section II - Findings Relating to the Financial Statements Which Are Required to be Reported in  
Accordance With *Government Auditing Standards***

We noted no findings in the current year.

**Section III - Findings and Questioned Costs for Federal Awards**

We noted no findings in the current year.

**University Corporation at Monterey Bay**  
**Status of Prior Year Findings and Questioned Costs – June 30, 2020**  
**Year Ended June 30, 2021**

We noted no findings in the prior year.